

# The Current Status and Prospects of Neutrino Masses, Mixing and Oscillations

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# Compelling Evidences for $\nu$ -Oscillations

–  $\nu_{\text{atm}}$ : **SK** UP-DOWN ASYMMETRY

$\theta_{z-}$ ,  $L/E$ - dependences of  $\mu$ -like events

**Dominant**  $\nu_{\mu} \rightarrow \nu_{\tau}$  K2K, MINOS; CNGS (OPERA)

–  $\nu_{\odot}$ : Homestake, Kamiokande, SAGE, GALLEX/GNO

Super-Kamiokande, SNO; KamLAND

**Dominant**  $\nu_e \rightarrow \nu_{\mu, \tau}$  BOREXINO, ..., LowNu

– LSND

**Dominant**  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$  MiniBOONE

$$\nu_{lL} = \sum_{j=1} U_{lj} \nu_{jL} \quad l = e, \mu, \tau.$$

## The $\nu$ -Oscillation Data: 3- $\nu$ Mixing

$$\nu_{lL} = \sum_{j=1,2,3} U_{lj} \nu_{jL} \quad l = e, \mu, \tau.$$

B. Pontecorvo, 1957; 1958; 1967;  
Z. Maki, M. Nakagawa, S. Sakata, 1962;

# Three Neutrino Mixing

$$\nu_{lL} = \sum_{j=1}^3 U_{lj} \nu_{jL} .$$

$U$  is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

- $U$  -  $n \times n$  unitary:

	$n$	2	3	4
mixing angles:	$\frac{1}{2}n(n-1)$	1	3	6

CP-violating phases:

$\nu_j$ - Dirac:	$\frac{1}{2}(n-1)(n-2)$	0	1	3
$\nu_j$ - Majorana:	$\frac{1}{2}n(n-1)$	1	3	6

$n = 3$ : 1 Dirac and

2 additional CP-violating phases, Majorana phases

# PMNS Matrix: Standard Parametrization

$$U = V \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$ ,  $c_{ij} \equiv \cos \theta_{ij}$ ,  $\theta_{ij} = [0, \frac{\pi}{2}]$ ,
- $\delta$  - Dirac CP-violation phase,  $\delta = [0, 2\pi]$ ,
- $\alpha_{21}$ ,  $\alpha_{31}$  - the two Majorana CP-violation phases.
- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 8.0 \times 10^{-5} \text{ eV}^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.30$ ,  $\cos 2\theta_{12} \gtrsim 0.28$  ( $2\sigma$ ),
- $|\Delta m_{\text{atm}}^2| \equiv |\Delta m_{31}^2| \cong 2.5 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} \cong 1$ ,
- $\theta_{13}$  - the CHOOZ angle:  $\sin^2 \theta_{13} < 0.027$  (0.041)  $2\sigma$  ( $3\sigma$ ).

A.Bandyopadhyay, S.Choubey, S.Goswami, S.T.P., D.P.Roy, hep-ph/0406328 (updated)

T. Schwetz, hep-ph/0606060.

- $\text{sgn}(\Delta m_{\text{atm}}^2) = \text{sgn}(\Delta m_{31}^2)$  not determined

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 > 0, \quad \text{normal mass ordering}$$

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2 < 0, \quad \text{inverted mass ordering}$$

Convention:  $m_1 < m_2 < m_3$  - **NMO**,  $m_3 < m_1 < m_2$  - **IMO**

$$m_1 \ll m_2 \ll m_3, \quad \text{NH,}$$

$$m_3 \ll m_1 < m_2, \quad \text{IH,}$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD; } m_j \gtrsim 0.10 \text{ eV.}$$

- Majorana phases  $\alpha_{21}, \alpha_{31}$ :

–  $\nu_l \leftrightarrow \nu_{l'}$ ,  $\bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$  not sensitive;

S.M. Bilenky, J. Hosek, S.T.P., 1980;

P. Langacker, S.T.P., G. Steigman, S. Toshev, 1987

–  $|\langle m \rangle|$  in  $(\beta\beta)_{0\nu}$ -decay depends on  $\alpha_{21}, \alpha_{31}$ ;

–  $\Gamma(\mu \rightarrow e + \gamma)$  etc. in SUSY theories depend on  $\alpha_{21,31}$ ;

– BAU, leptogenesis scenario:  $\alpha_{21,31}$  !

# Neutrino Mixing Parameters

		$\theta_{12}, \theta_{23}, \theta_{13}$
$\nu_j$	Dirac	Majorana
	$\delta$	$\delta, \alpha_{21}, \alpha_{31}$
		$m_1, m_2, m_3$

$m_1, m_2, m_3$  - in terms of  $\Delta m_{\odot}^2, \Delta m_{\text{atm}}^2$  and  $\min(m_j)$

## Conventions

**A.**  $m_1 < m_2 < m_3$  (NO) or  $m_3 < m_1 < m_2$  (IO)

- $\Delta m_{\odot}^2 = \Delta m_{21}^2 > 0$

- $\Delta m_{\text{atm}}^2 = \Delta m_{31}^2 > 0$  (NO),  $\Delta m_{\text{atm}}^2 = \Delta m_{32}^2 < 0$  (IO)

- $m_2 = \sqrt{m_1^2 + \Delta m_{21}^2}, m_3 = \sqrt{m_1^2 + \Delta m_{31}^2}$

**B.**  $m_1 < m_2 < m_3$

- $\Delta m_{\text{atm}}^2 = \Delta m_{31}^2 > 0$

- $\Delta m_{\odot}^2 = \Delta m_{21}^2 > 0$ , NO;  $\Delta m_{\odot}^2 = \Delta m_{32}^2 > 0$ , IO

## Neutrino Oscillation Parameters

parameter	bf $\pm 1\sigma$	1 $\sigma$ acc.	2 $\sigma$ range	3 $\sigma$ range
$\Delta m_{21}^2$ [ $10^{-5}eV^2$ ]	$7.9 \pm 0.3$	4%	7.3 – 8.5	7.1 – 8.9
$ \Delta m_{31}^2 $ [ $10^{-3}eV^2$ ]	$2.5^{+0.20}_{-0.25}$	10%	2.1 – 3.0	1.9 – 3.2
$\sin^2 \theta_{12}$	$0.30^{+0.02}_{-0.03}$	9%	0.26 – 0.36	0.24 – 0.40
$\sin^2 \theta_{23}$	$0.50^{+0.08}_{-0.07}$	16%	0.38 – 0.64	0.34 – 0.68
$\sin^2 \theta_{13}$	–	–	$\leq 0.025$	$\leq 0.041$

Best fit values (bf), 1 $\sigma$  errors, relative accuracies at 1 $\sigma$ , and 2 $\sigma$  and 3 $\sigma$  allowed ranges of three-flavor neutrino oscillation parameters from a combined analysis of global data.



# Absolute Neutrino Mass Measurements

Troitsk and Mainz  ${}^3\text{H}$   $\beta$ -decay experiments,  ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e$ ,

$$m_{\nu_e} < 2.2 \text{ eV} \quad (95\% \text{ C.L.})$$

There are prospects to reach sensitivity

$$\text{KATRIN :} \quad m_{\nu_e} \sim 0.2 \text{ eV}$$

Cosmological and astrophysical data: the WMAP result combined with data from large scale structure surveys (2dFGRS, SDSS)

$$\sum_j m_j \equiv \Sigma < (0.4 - 1.7) \text{ eV}$$

The WMAP and future PLANCK experiments can be sensitive to

$$\sum_j m_j \cong 0.4 \text{ eV}$$

Data on weak lensing of galaxies by large scale structure, combined with data from the WMAP and PLANCK experiments may allow to determine

$$\sum_j m_j : \quad \delta \cong 0.04 \text{ eV.}$$

## Future Progress

- Determination of the nature - Dirac or Majorana, of  $\nu_j$  .
- Determination of  $\text{sgn}(\Delta m_{\text{atm}}^2)$ , type of  $\nu$ - mass spectrum

$$m_1 \ll m_2 \ll m_3, \quad \text{NH,}$$

$$m_3 \ll m_1 < m_2, \quad \text{IH,}$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD; } m_j \gtrsim 0.10 \text{ eV.}$$

- Determining, or obtaining significant constraints on, the absolute scale of  $\nu_j$ -masses, or  $\min(m_j)$ .
- Status of the CP-symmetry in the lepton sector: violated due to  $\delta$  (Dirac), and/or due to  $\alpha_{21}, \alpha_{31}$  (Majorana)?
- High precision determination of  $\Delta m_{\odot}^2, \theta_{\odot}, \Delta m_{\text{atm}}^2, \theta_{\text{atm}}$ .
- Measurement of, or improving by at least a factor of (5 - 10) the existing upper limit on,  $\sin^2 \theta_{13}$ .
- Searching for possible manifestations, other than  $\nu_l$ -oscillations, of the non-conservation of  $L_l, l = e, \mu, \tau$ , such as  $\mu \rightarrow e + \gamma, \tau \rightarrow \mu + \gamma$ , etc. decays.

- Understanding at fundamental level the mechanism giving rise to the  $\nu$ - masses and mixing and to the  $L_l$ -non-conservation. Includes understanding
  - the origin of the observed patterns of  $\nu$ -mixing and  $\nu$ -masses ;
  - the physical origin of  $CPV$  phases in  $U_{PMNS}$  ;
  - Are the observed patterns of  $\nu$ -mixing and of  $\Delta m_{21,31}^2$  related to the existence of a new symmetry?
  - Is there any relations between  $q$ -mixing and  $\nu$ - mixing? Is  $\theta_{12} + \theta_c = \pi/4$  ?
  - Is  $\theta_{23} = \pi/4$ , or  $\theta_{23} > \pi/4$  or else  $\theta_{23} < \pi/4$ ?
  - Is there any correlation between the values of  $CPV$  phases and of mixing angles in  $U_{PMNS}$ ?
- Progress in the theory of  $\nu$ -mixing might lead to a better understanding of the origin of the BAU.
  - Can the Majorana and/or Dirac CPVP in  $U_{PMNS}$  be the leptogenesis CPV parameters at the origin of BAU?

## HOW?

- $\nu_{\odot}$ –,  $\nu_{\text{atm}}$ – experiments

SK ( $\nu_{\text{atm}}$ ); INO ( $\nu_{\text{atm}}$ ), MEMPHYS

SNO

SAGE

BOREXINO

LowNu (XMASS, LENS,...)

- Reactor Experiments  $\sim (1 - 180)$  km

- Accelerator Experiments

MINOS ( $\nu_{\text{atm}}$ ) 732 km

OPERA, ICARUS 732 km

- Super Beams

T2K, SK (HK)            295 km

NO $\nu$ A                     $\sim$ 800 km

SPL+ $\beta$ -beams, MEMPHYS (0.5 megaton):  
CERN-Frejus  $\sim$ 140 km

$\nu$ -Factories             $\sim$  3000, 7000 km

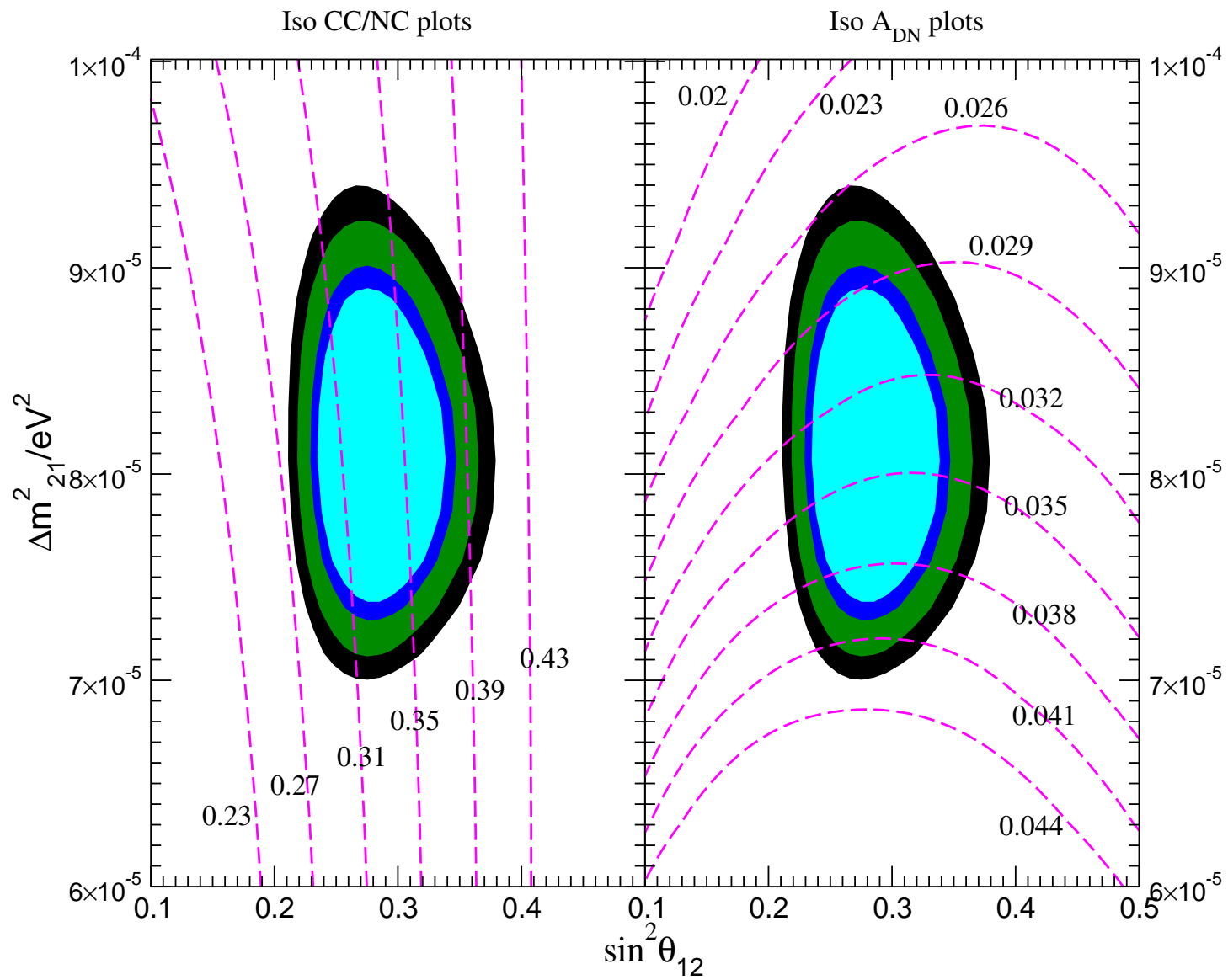
- $(\beta\beta)_{0\nu}$ -Decay,  $^3\text{H}$   $\beta$ -Decay

- Astrophysics, Cosmology

$$\Delta m_{\odot}^2 = \Delta m_{21}^2, \theta_{\odot} = \theta_{12}$$

### Data from $\nu_{\odot}$ - experiments

- **SNO**:  $A_{D-N} < 4.3\%$   
would restrict further  $\Delta m_{21}^2$  from below  
 $R_{CC/NC} = 0.306 \pm 0.035$ , **reducing the error**  
would restrict further  
the range of  $\sin^2 \theta_{12}$
- **BOREXINO**
- **LowNu (pp neutrinos) - LENS, XMASS**:  $\sin^2 2\theta_{12}$



LowNu: generic  $\nu - e^-$  ES experiment

**pp**:  $E_\nu \leq 0.42$  MeV,  $\bar{E}_\nu = 0.286$  MeV

**Assume**  $T_e \geq 50$  keV

$$R_{pp} \cong \bar{P} + r_{pp}(1 - \bar{P}), \quad \bar{P} \cong \cos^4 \theta_{13} (1 - \frac{1}{2} \sin^2 2\theta_{12}), \quad r_{pp} \cong 0.3$$

$$R_{CC/NC}(SNO) \cong \sin^2 \theta_{12} \cos^4 \theta_{13}$$

$\Delta(R_{pp}) < \Delta(R_{CC/NC})$  to reduce  $\Delta(\sin^2 \theta_{12})$ ; **SNO3**:  $\sim 6\%$

**BP04**:  $R_{pp} \cong 0.71$ ;  $3\sigma$ : **0.67 - 0.76**

**With**  $\Delta(R_{pp}) = 1\%$ ,  $\Delta(\sin^2 \theta_{12}) \gtrsim 15\%$  at  $3\sigma$

**Dedicated reactor experiment with**  $L \sim 60$  km:

$$\Delta(\sin^2 \theta_{12}) = (6 - 9)\% \text{ at } 3\sigma$$

A. Bandyopadhyay et al., hep-ph/0302243 and hep-ph/0410283;

H. Minakata et al., hep-ph/0407326



# Reactor Experiments

Future more precise KamLAND data:  $\Delta m_{21}^2$  with higher precision

$\sin^2 \theta_{12}$  cannot be determined with a high precision

(“wrong distance”)

even with SHIKA-2 reactor to be operative in 2006

(“right distance”,  $L = 88$  km, but signal too weak (3.926 GW))

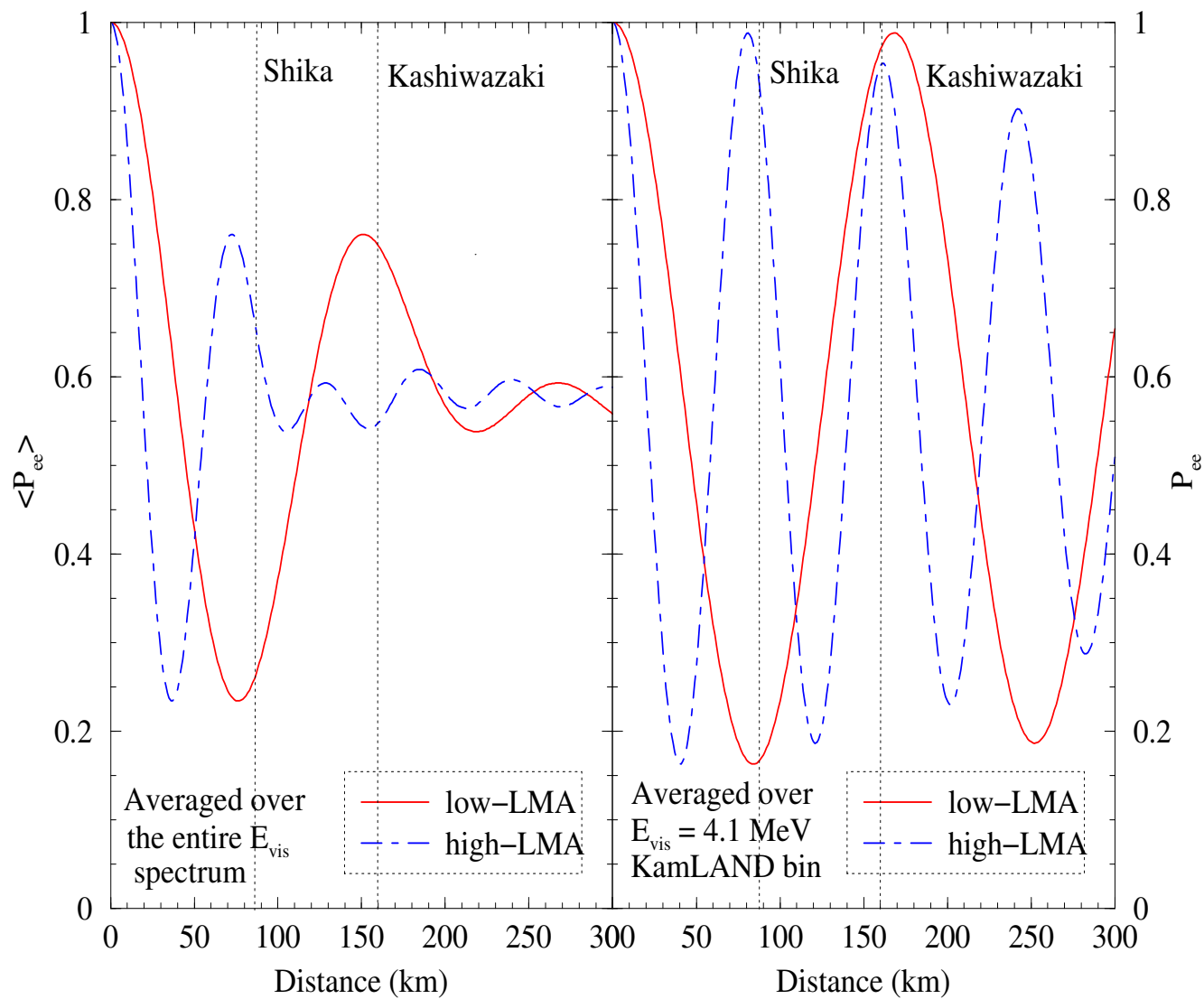
$$P_{\text{KL}}^{3\nu} \cong \sin^4 \theta_{13} + \cos^4 \theta_{13} \left[ 1 - \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{\odot}^2}{4E} L \right) \right]$$

$\sin^2 \left( \frac{\Delta m_{\odot}^2}{4E} L \right) \cong 0$  (**SPMAX; KamLAND**):

strong sensitivity to  $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2$ , weak sensitivity to  $\sin^2 \theta_{12}$

$\sin^2 \left( \frac{\Delta m_{\odot}^2}{4E} L \right) \cong 1$  (**SPMIN**):  $E = 4$  MeV,  $L \cong 60$  km,

strong sensitivity to  $\sin^2 \theta_{12}$



## SK + 0.1% Gd

J.F. Beacom and M.R. Vagins, hep-ph/0309300

- SK-Gd reactor  $\bar{\nu}_e$  rate  $\sim$  43 times KamLAND rate

3 years statistics in SK-Gd, 99% C.L.:

$$\Delta m_{21}^2 = (8.01 - 8.61) \times 10^{-5} \text{eV}^2; \quad \text{spread} = 3.6\%$$

$$\sin^2 \theta_{12} = (0.22 - 0.34); \quad \text{spread} = 21\%$$

5 years statistics in SK-Gd, 99% C.L.:

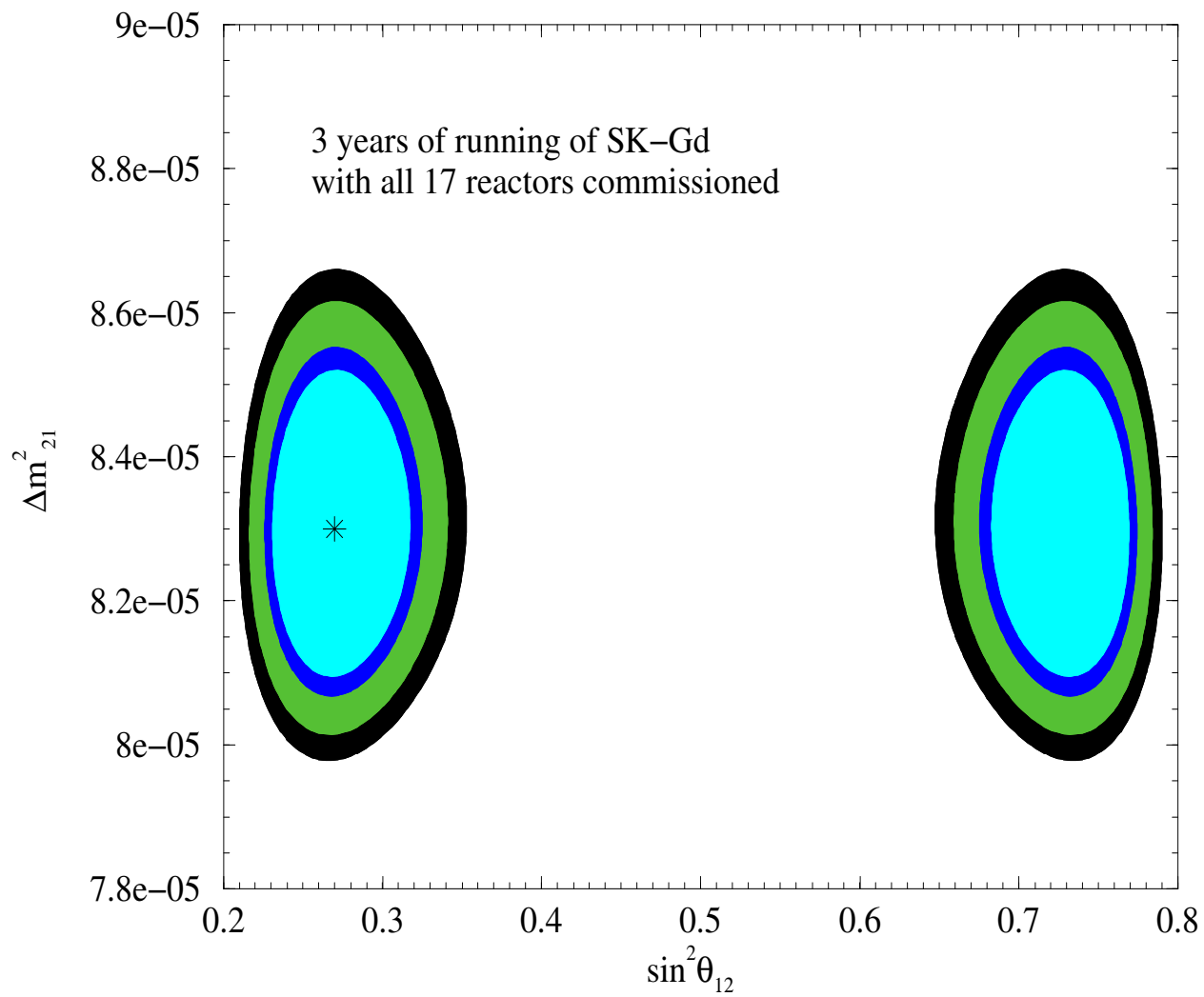
$$\Delta m_{21}^2 = (8.07 - 8.53) \times 10^{-5} \text{eV}^2; \quad \text{spread} = 2.8\%$$

$$\sin^2 \theta_{12} = (0.22 - 0.32); \quad \text{spread} = 18\%$$

$$\text{spread} = \frac{a_{max} - a_{min}}{a_{max} + a_{min}}, \quad a \equiv \Delta m_{21}^2 \text{ or } \sin^2 \theta_{12}$$

**Comment:** SK-Gd data simulated at  $\Delta m_{21}^2 = 8.3 \times 10^{-5} \text{eV}^2$ ,  $\sin^2 \theta_{12} = 0.27$  (the “old” global best-fit point). The precision on  $\Delta m_{21}^2$  and  $\sin^2 \theta_{12}$  for a given statistics remains approximately the same for  $\Delta m_{21}^2 = 8.0 \times 10^{-5} \text{eV}^2$ ,  $\sin^2 \theta_{12} = 0.30$  (the new global best-fit point).

S.T.P. and S. Choubey, hep-ph/0404103



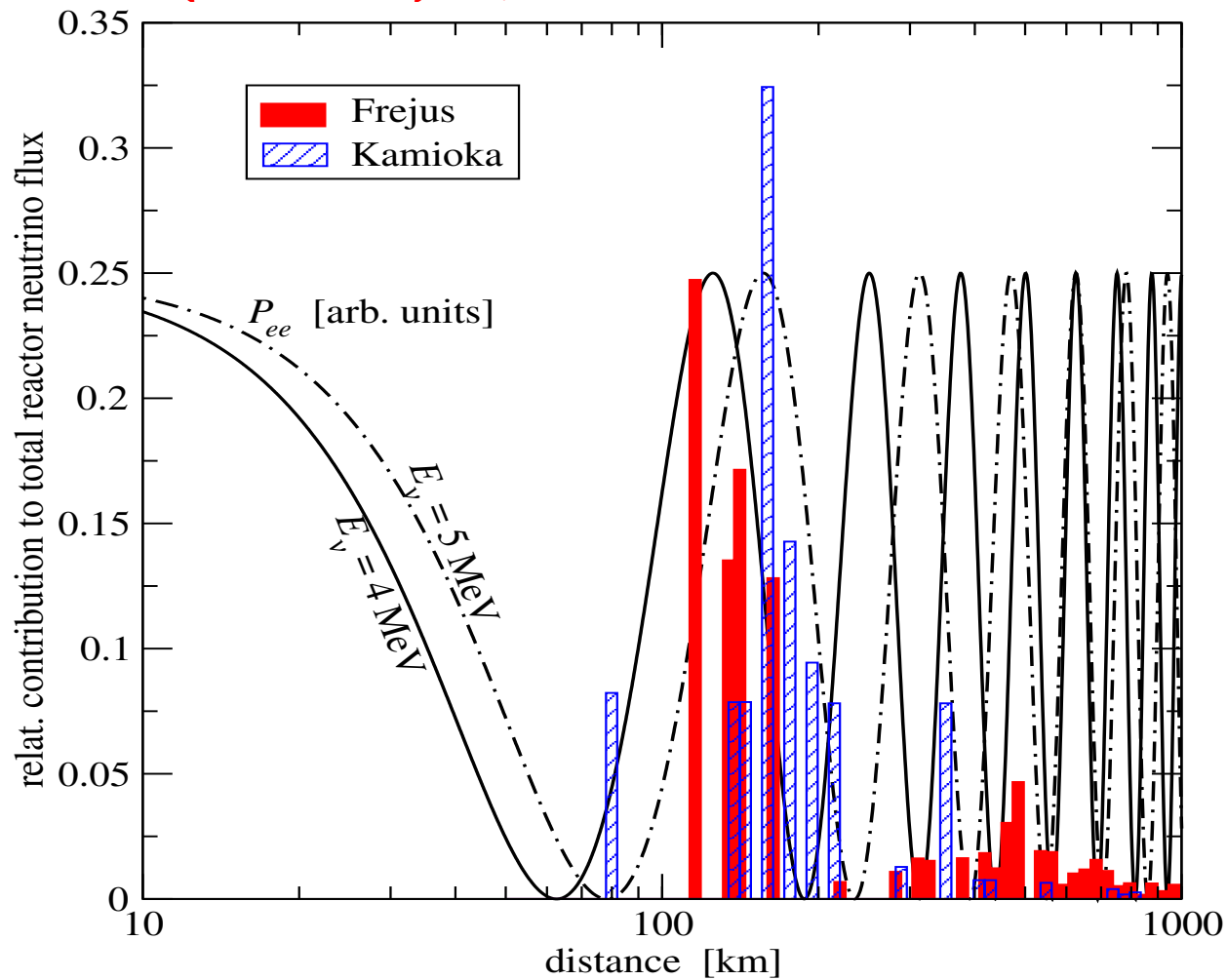
S.T.P. and S. Choubey, hep-ph/0404103

## Sensitivity to $\Delta m_{21}^2$ and $\sin^2 \theta_{12}$

Data set used	99% CL range of $\Delta m_{21}^2 \times 10^{-5} \text{eV}^2$	99% CL spread of $\Delta m_{21}^2$	99% CL range of $\sin^2 \theta_{12}$	99% CL spread in $\sin^2 \theta_{12}$
only solar	3.2 - 14.9	65%	0.22 – 0.37	25%
solar with future SNO	3.3 – 11.9	57%	2.2 – 0.34	21%
solar+1 kTy KL(low-LMA)	6.5 - 8.0	10%	0.23 – 0.37	23%
solar+2.6 kTy KL(low-LMA)	6.7 – 7.7	7%	0.23 – 0.36	22%
solar with future SNO+1.3 kTy KL(low-LMA)	6.7 – 7.8	8%	0.24 – 0.34	17%
3 yrs SK-Gd	7.0 - 7.4	3%	0.25 – 0.37	19%
5 yrs SK-Gd	7.0 – 7.3	2%	0.26 – 0.35	15%
solar+3 yrs SK-Gd(low-LMA)	7.0 – 7.4	3%	0.25 – 0.34	15%
solar with future SNO+3 yrs SK-Gd(low-LMA)	7.0 – 7.4	3%	0.25 – 0.335	14%
7 yrs SK-Gd with <i>only</i> Shika-2 “up”	7.0 – 7.3	2%	0.28 – 0.32	6.7%

**Future SNO: 5% on  $R_{CC}$ , 6% on  $R_{NC}$**

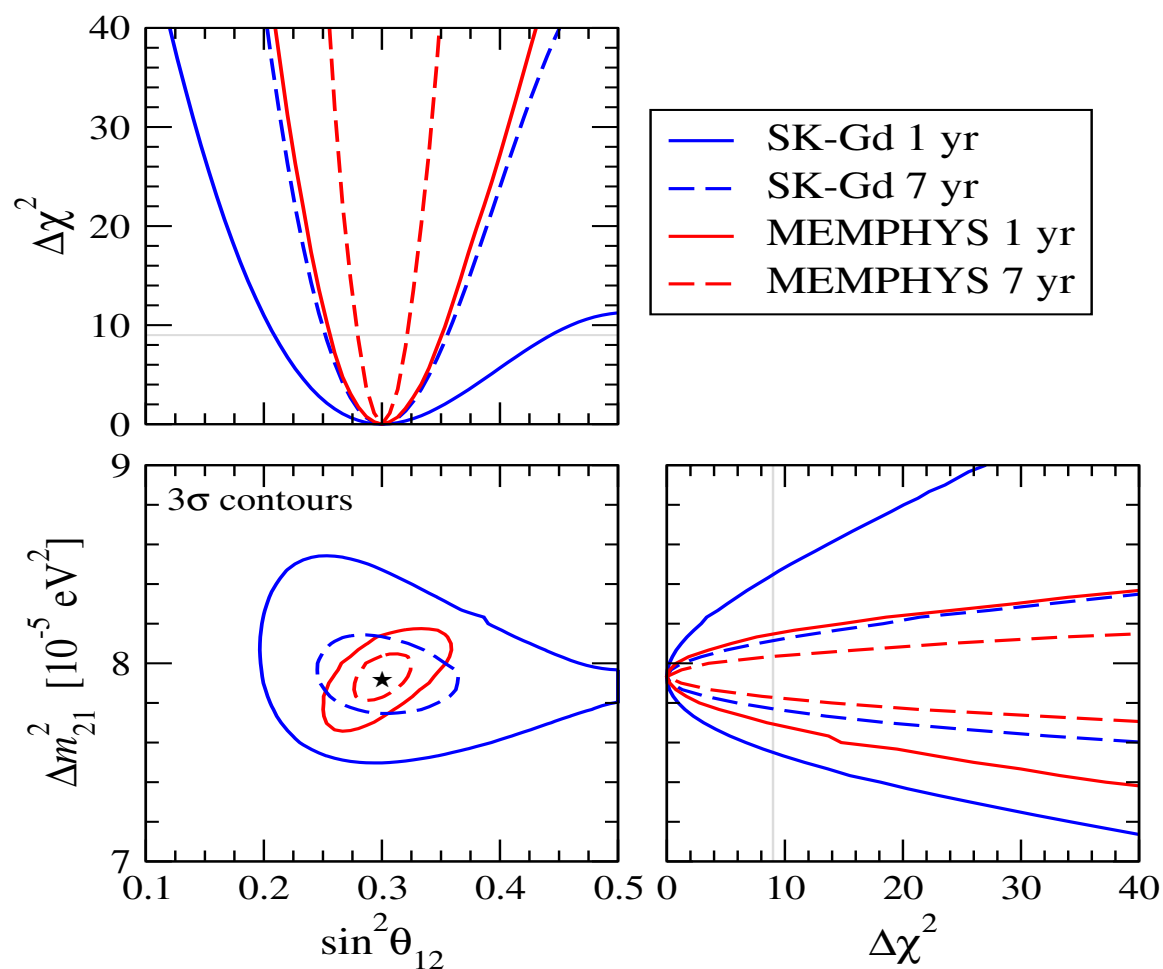
# MEMPHYS (Frejus) + 0.1% Gd



MEMPHYS (Frejus): 147 kt water-Čerenkov detector,  $\sim 6.5 \times$  SK

56 reactors within 1000 km; 65% of the flux from reactors within 160 km

# MEMPHYSGd vs SKGd



1 year MEMGd  $\cong$  7 years SKGd:  $3\sigma(\Delta m_{21}^2) \cong 3\%$ ,  $3\sigma(\sin_{21}^2) \cong 20\%$

7 years MEMPHYSGd:  $3\sigma(\Delta m_{21}^2) \cong 1.4\%$ ,  $3\sigma(\sin_{21}^2) \cong 13\%$

S.T.P. and T. Schwetz, hep-ph/0607155

## Dedicated Reactor Experiment on $\sin^2 2\theta_{12}$

$$P_{\text{KL}}^{3\nu} \cong \sin^4 \theta_{13} + \cos^4 \theta_{13} \left[ 1 - \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{\odot}^2}{4E} L \right) \right]$$

**SPMIN:**  $L \sim 60$  km:  $\sin^2 2\theta_{12}$

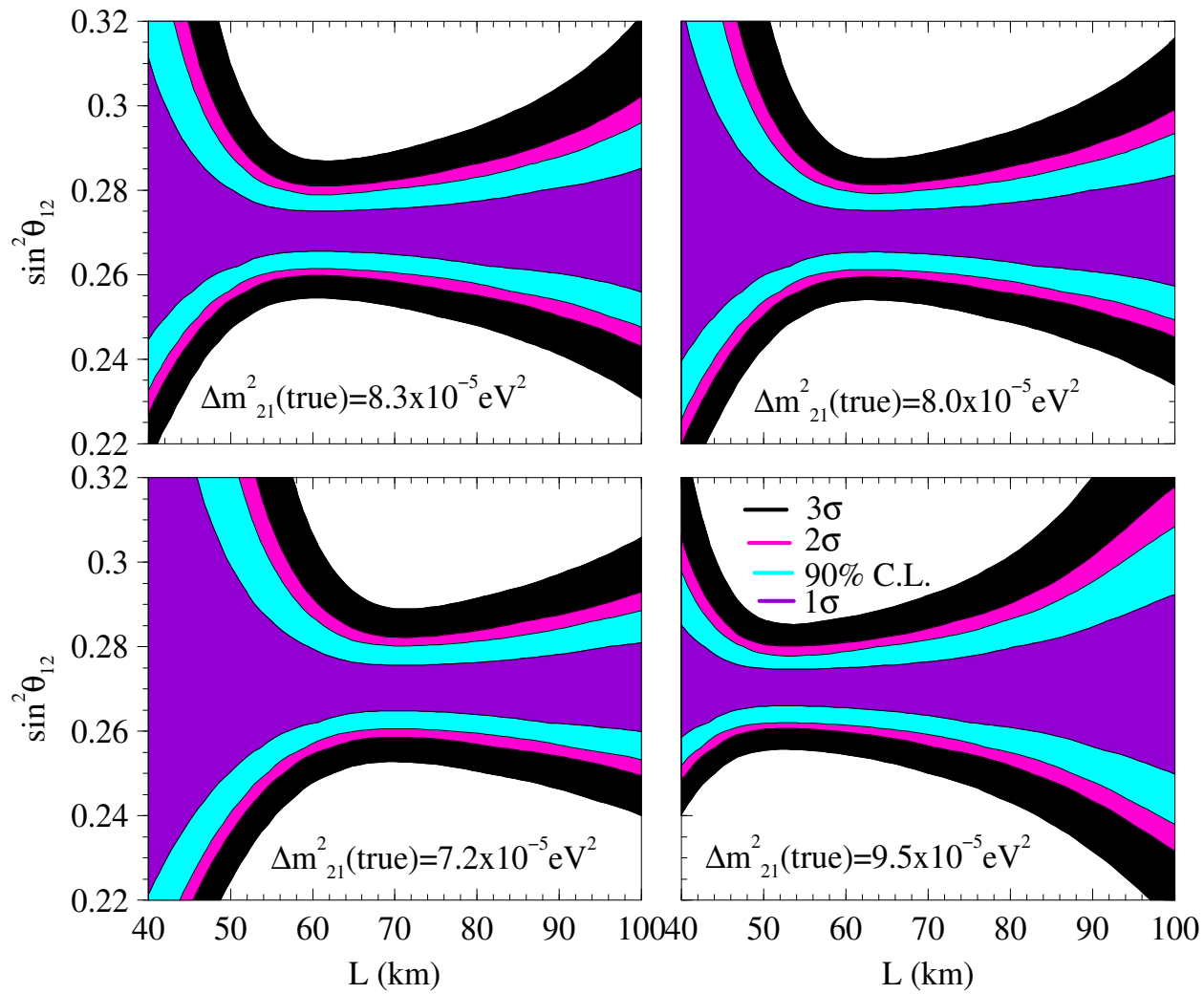
$\Delta(\sin^2 \theta_{12}) = (6 - 9)\%$  at  $3\sigma$

A. Bandyopadhyay, S. Choubey, S. Goswami, hep-ph/0302243;

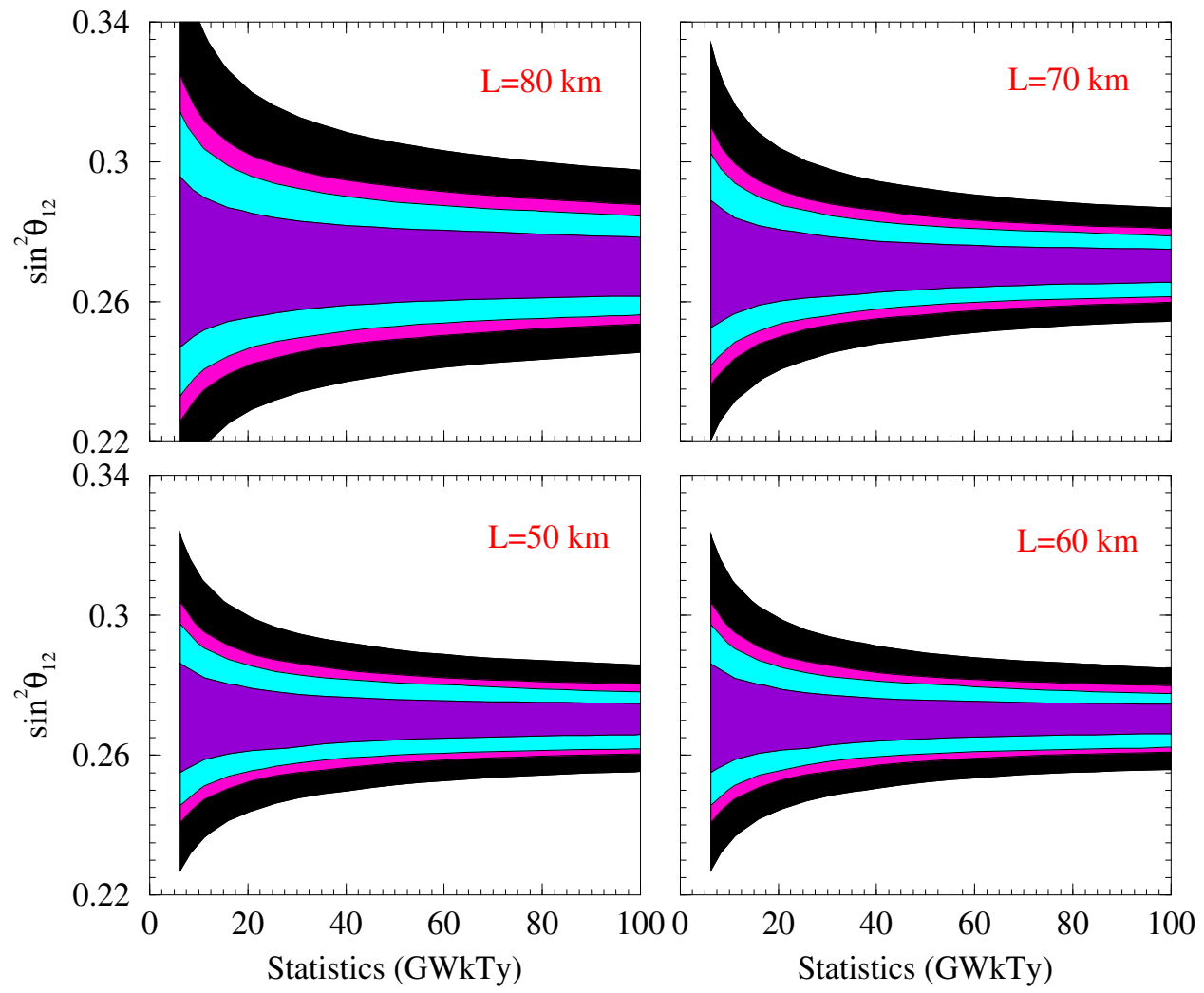
A. Bandyopadhyay, S. Choubey, S. Goswami, S.T.P., hep-ph/0410283;

H. Minakata et al., hep-ph/0407326

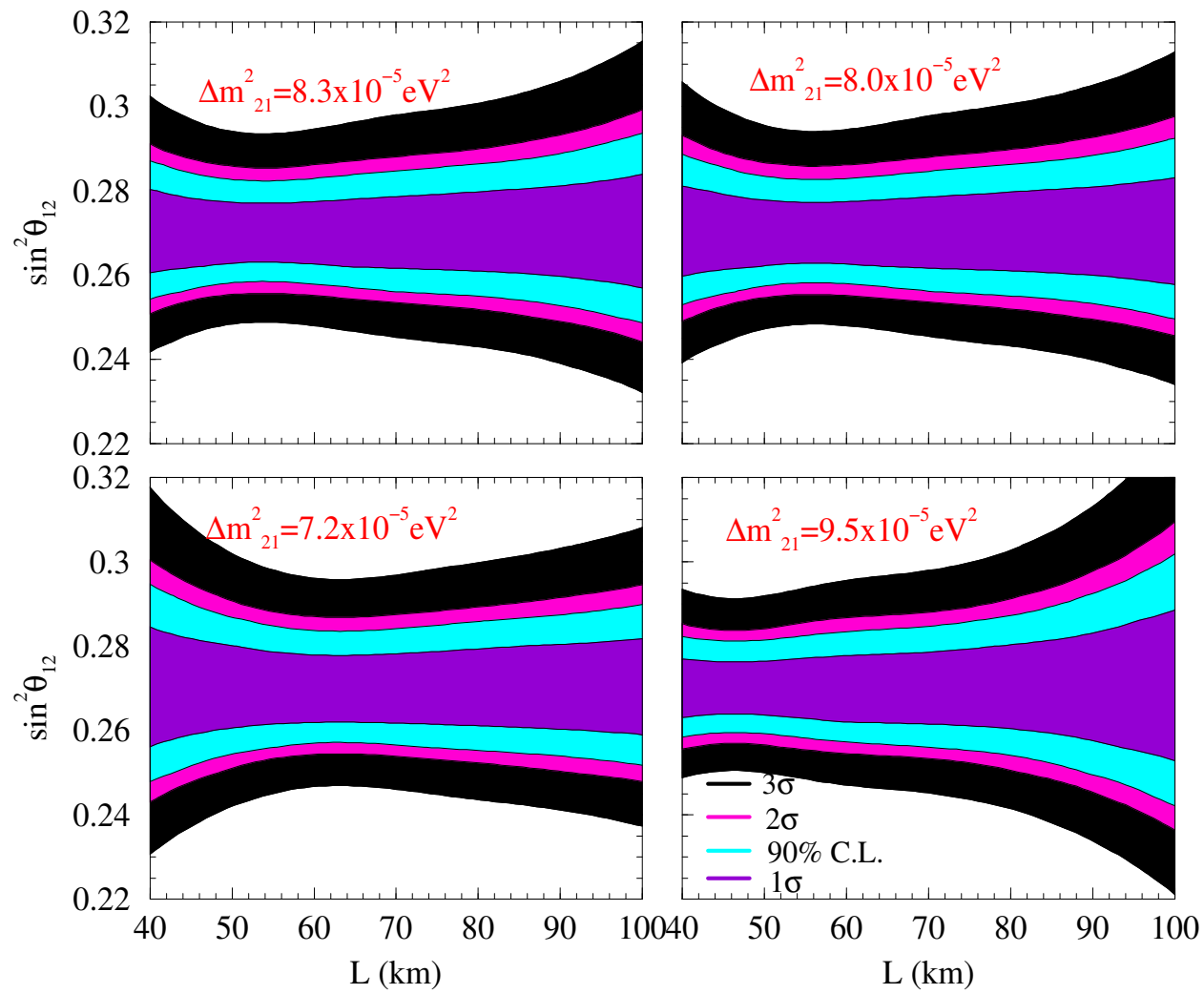




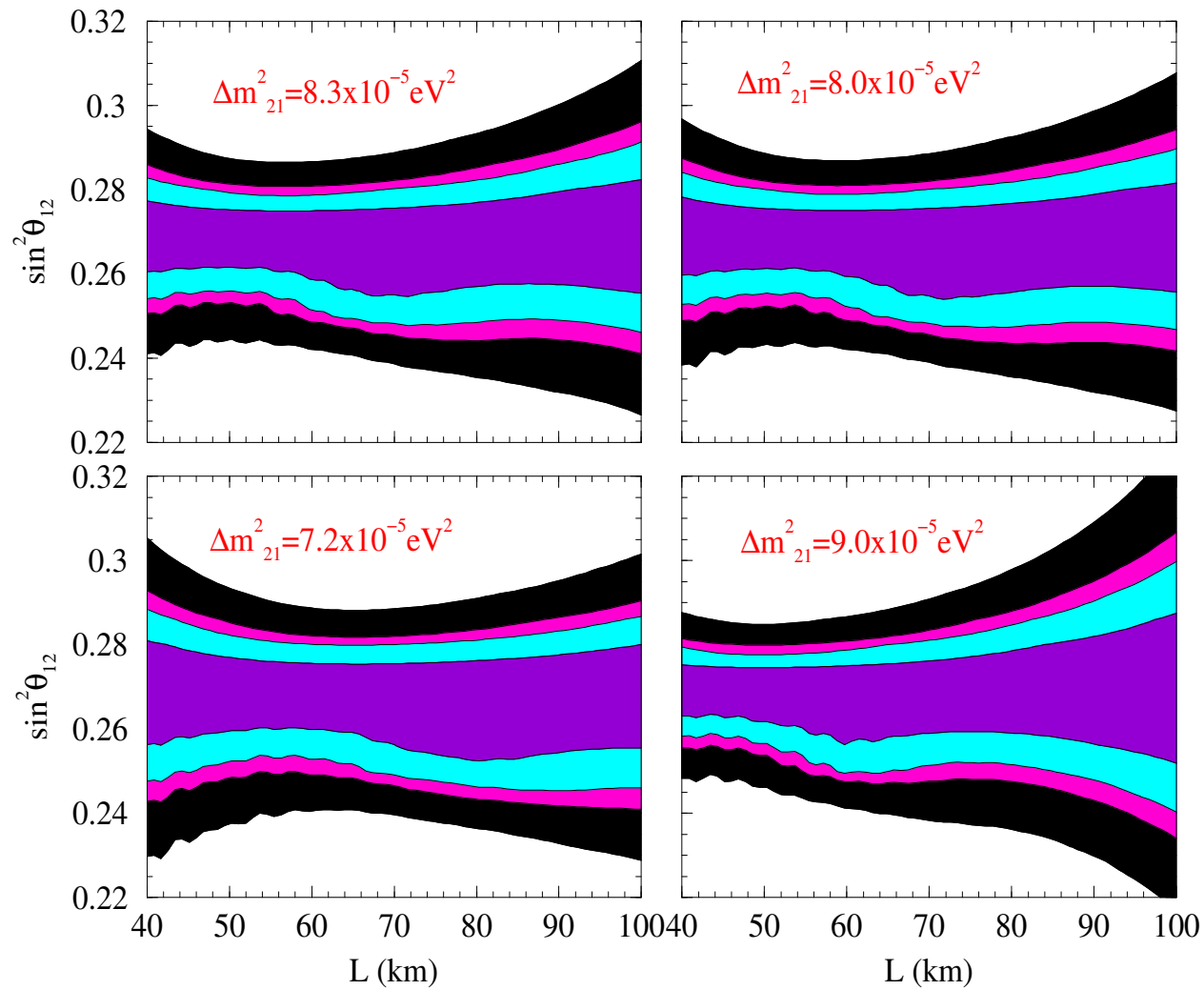
Systematic uncertainty 2%; statistics 73 GWkTy; KamLAND-like detector



The effect of statistics



Fixed  $\Delta m^2_{21}$ ; systematic error 5%



$\sin^2 \theta_{13}$  - free within the  $3\sigma$  allowed range

**SPMIN:**  $\delta(\sin^2 2\theta_{12}) \approx 2\Delta P_{ee} \sin^2 \theta_{13} + 2 \cos^2 2\theta_{12} \Delta(\sin^2 \theta_{13})$

## Oscillation Parameters

$$\Delta m_{\odot}^2 = 8.0 \times 10^{-5} \text{ eV}^2, \quad 3\sigma(\Delta m_{\odot}^2) = 12\%,$$

$$\sin^2 \theta_{\odot} = 0.30, \quad 3\sigma(\sin^2 \theta_{\odot}) = 24\%,$$

$$|\Delta m_{\text{atm}}^2| = 2.5 \times 10^{-3} \text{ eV}^2, \quad 3\sigma(|\Delta m_{\text{atm}}^2|) = 26\%.$$

## Future:

**SNO III:**  $3\sigma(\sin^2 \theta_{\odot}) = 21\%$  ;

**3 kTy KamLAND:**  $3\sigma(\Delta m_{\odot}^2) = 7\%$  ,  $3\sigma(\sin^2 \theta_{\odot}) = 18\%$  ;

A. Bandyopadhyay et al., hep-ph/0410283

**SK-Gd (0.1% Gd: 43×(KL  $\bar{\nu}_e$  rate)), 3y:**  $3\sigma(\Delta m_{\odot}^2) \cong 4\%$

S. Choubey, S.T.P., hep-ph/0404103;

J. Beacom and M. Vagins, hep-ph/0309300

**KL type reactor  $\bar{\nu}_e$  detector,  $L \sim 60$  km,  $\sim 60$  GW kTy:**

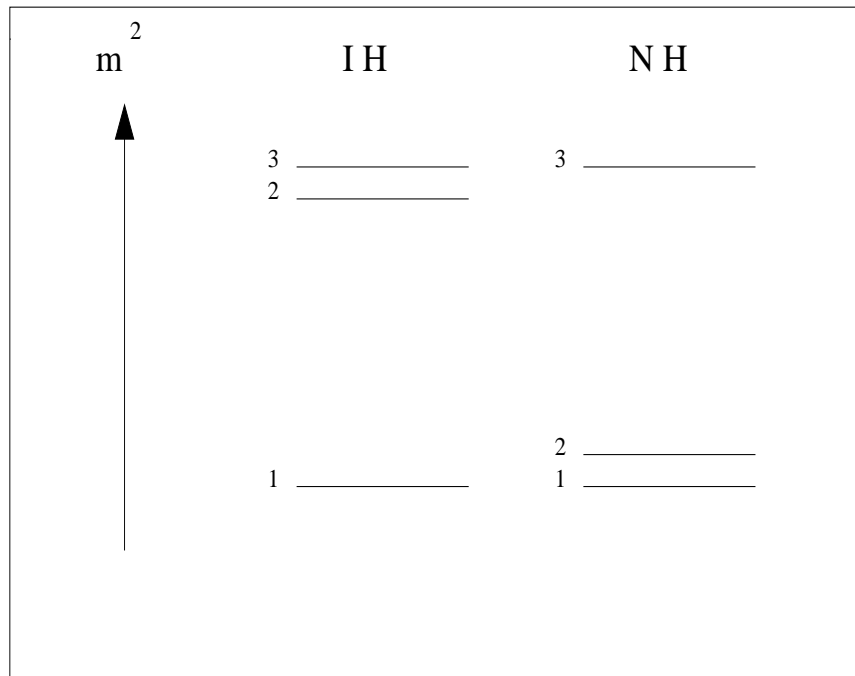
$3\sigma(\sin^2 \theta_{\odot}) \cong 6\%$  (9%) **for 2% (5%) syst. error; +  $\delta(\sin^2 \theta_{13})$  : 9% (11%)**

A. Bandyopadhyay, et al., hep-ph/0410283

**T2K (SK):**  $3\sigma(|\Delta m_{\text{atm}}^2|) \cong 12\%$

P. Huber et al., hep-ph/0403068

# Determining the $\nu$ -Mass Hierarchy ( $\text{sgn}(\Delta m_{\text{atm}}^2)$ )



- Reactor  $\bar{\nu}_e$  Oscillations in vacuum.
- Atmospheric  $\nu$  experiments: subdominant  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  and  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  oscillations (matter effects).
- LBL  $\nu$ -oscillation experiments (T2KK, NO $\nu$ A);  $\nu$ -factory.
- $^3\text{H}$   $\beta$ -decay Experiments (sensitivity to  $5 \times 10^{-2}$  eV).
- $(\beta\beta)_{0\nu}$ -Decay Experiments ( $\nu_j$ - Majorana particles).

## Reactor $\bar{\nu}_e$ Oscillations in vacuum

$$P_{\text{NH}}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left(1 - \cos \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu}\right) - \frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_{\odot} \left(1 - \cos \frac{\Delta m_{\odot}^2 L}{2 E_\nu}\right) \\ + \sin^2 2\theta_{13} \sin^2 \theta_{\odot} \sin \frac{\Delta m_{\odot}^2 L}{4 E_\nu} \sin \left(\frac{\Delta m_{\text{A}}^2 L}{2 E_\nu} - \frac{\Delta m_{\odot}^2 L}{4 E_\nu}\right),$$

$$P_{\text{IH}}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left(1 - \cos \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu}\right) - \frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_{\odot} \left(1 - \cos \frac{\Delta m_{\odot}^2 L}{2 E_\nu}\right) \\ + \sin^2 2\theta_{13} \cos^2 \theta_{\odot} \sin \frac{\Delta m_{\odot}^2 L}{4 E_\nu} \sin \left(\frac{\Delta m_{\text{A}}^2 L}{2 E_\nu} - \frac{\Delta m_{\odot}^2 L}{4 E_\nu}\right),$$

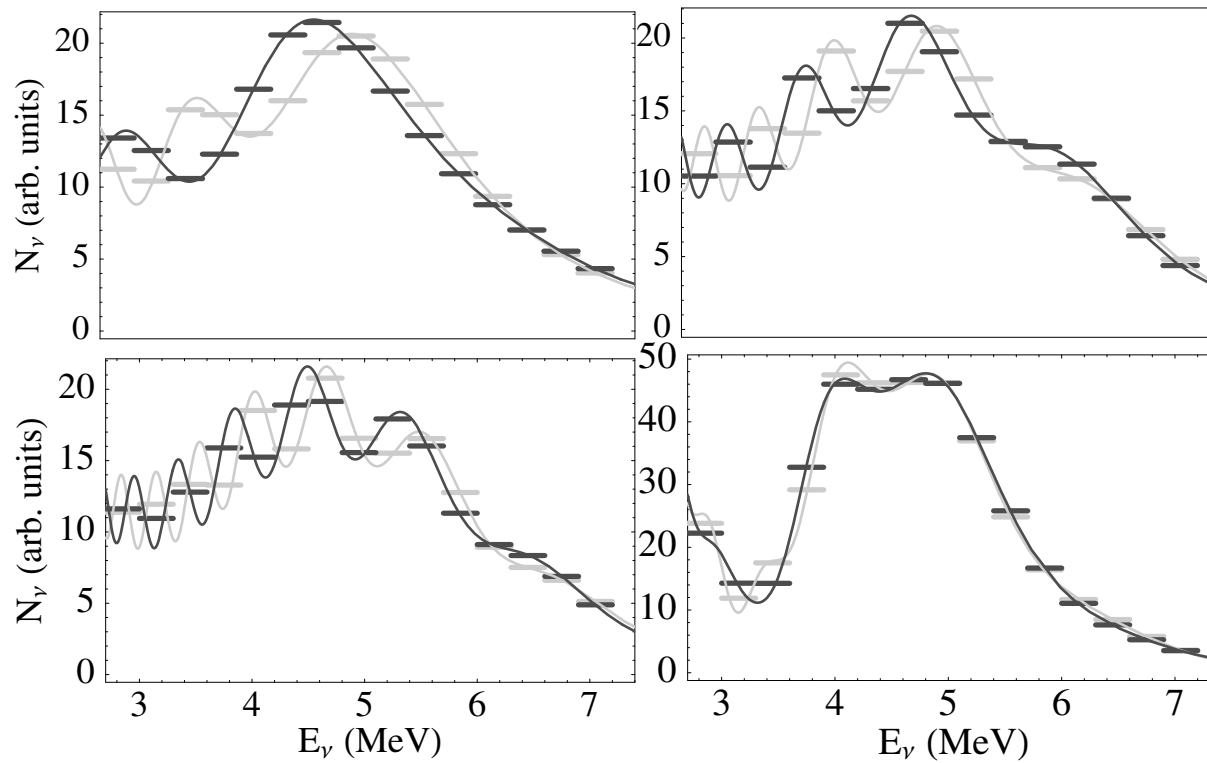
$$\theta_{\odot} = \theta_{12}, \Delta m_{\odot}^2 = \Delta m_{21}^2 > 0; \sin^2 \theta_{12} \leq 0.38 \text{ at } 3\sigma;$$

$$\Delta m_{\text{A}}^2 = \Delta m_{31}^2 > 0, \text{ NH spectrum,}$$

$$\Delta m_{\text{A}}^2 = \Delta m_{23}^2 > 0, \text{ IH spectrum}$$

S.M. Bilenky, D. Nicolo, S.T.P., hep-ph/0112216;

M. Piai, S.T.P., hep-ph/0112074;



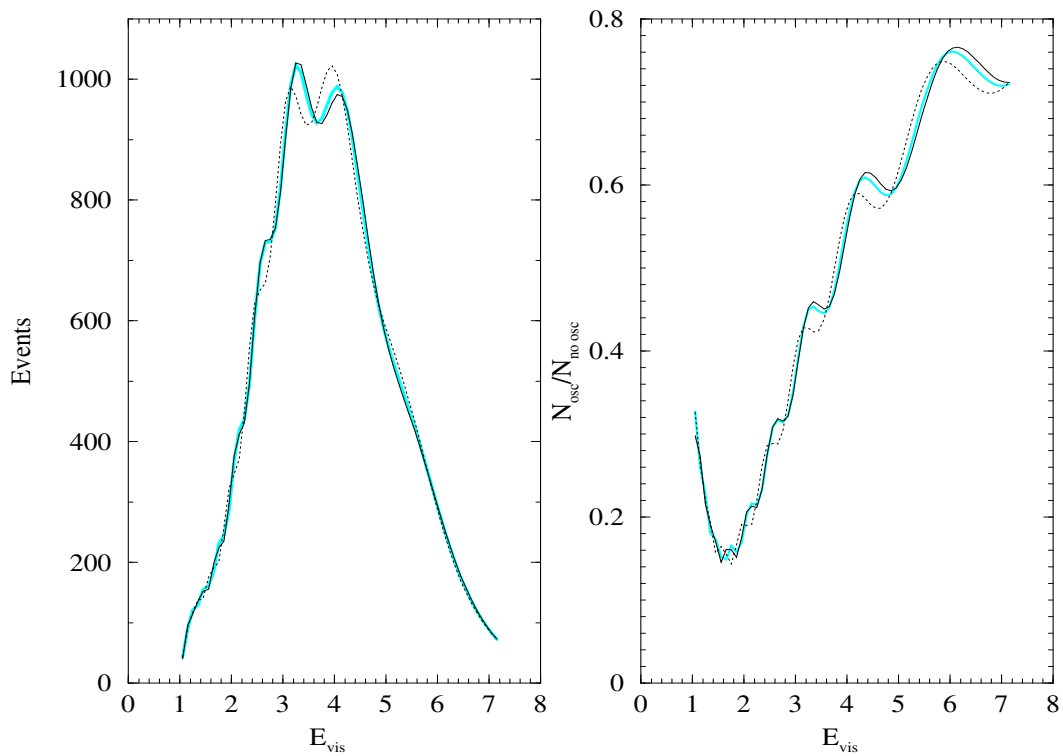
M. Piai, S.T.P., 2001

$$\sin^2 \theta_{13} = 0.05, \quad \Delta m_{21}^2 = 2 \times 10^{-4} \text{ eV}^2; \quad \Delta m_{\Delta}^2 = 1.3; 2.5; 3.5 \times 10^{-3} \text{ eV}^2$$

$$L = 20 \text{ km}; \quad \Delta E_\nu = 0.3 \text{ MeV}$$

NH – light grey; IH – dark grey



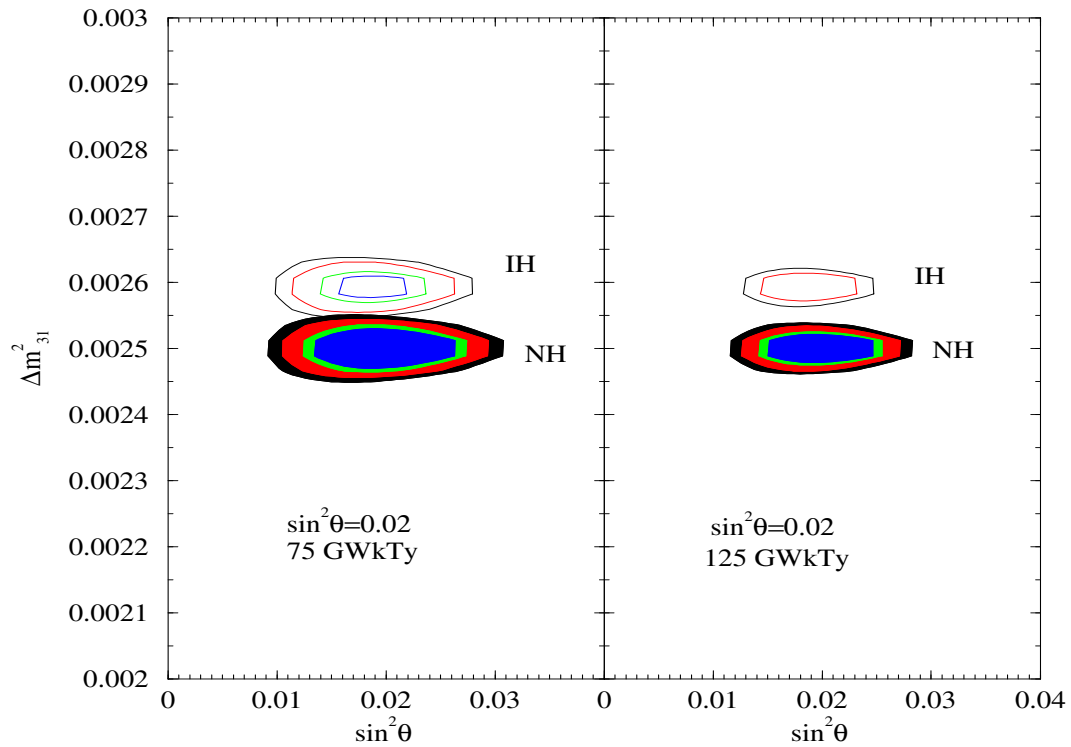


S. Choubey, S.T.P., 2003

$$\sin^2 \theta_{13} = 0.03, \sin^2 \theta_{\odot} = 0.30, \Delta m_{21}^2 = 1.5 \times 10^{-4} \text{ eV}^2, \Delta m_{\text{A}}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

$L = 20 \text{ km}; \Delta E_{\nu} = 0.1 \text{ MeV}; 75 \text{ GWkTy}$

NH – thick cyan; IH – dotted, thin solid ( $\Delta m_{\text{A}}^2 = 2.6 \times 10^{-3} \text{ eV}^2$ )

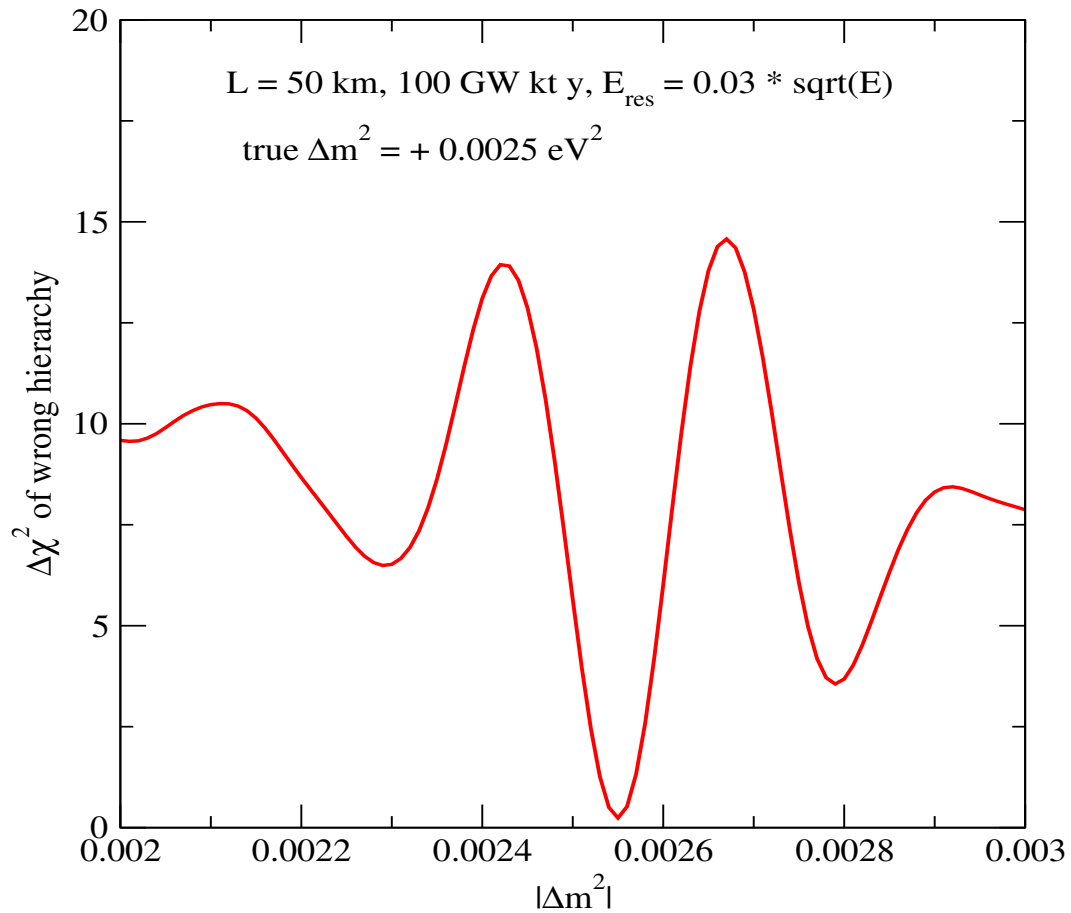


S. Choubey, S.T.P., 2003

$$\sin^2 \theta_{\odot} = 0.30, \quad \Delta m_{21}^2 = 1.5 \times 10^{-4} \text{ eV}^2, \quad \Delta m_{\text{A}}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

$L = 20 \text{ km}$ ;  $\Delta E_{\nu} = 0.1 \text{ MeV}$ ; syst. error 2%

“True”: NH; 90%, 95%, 99% and 99.73% solution regions



T. Schwetz, September 2006

$\sin^2 \theta_{\odot} = 0.30, \Delta m_{21}^2 = 8 \times 10^{-5} \text{ eV}^2; \text{ "true" } \Delta m_{\text{A}}^2 = 2.50 \times 10^{-3} \text{ eV}^2 \text{ (NH)}$

**Minimum at  $\Delta m_{\text{A}}^2 = -2.55 \times 10^{-3} \text{ eV}^2 \text{ (IH)}$**

**Precision of  $\sim 1\%$  on  $|\Delta m_{\text{A}}^2|$  required**

## Atmospheric $\nu$ experiments

Subdominant  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  and  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  oscillations in the Earth.

$$P_{3\nu}(\nu_e \rightarrow \nu_\mu) \cong P_{3\nu}(\nu_\mu \rightarrow \nu_e) \cong s_{23}^2 P_{2\nu}, P_{3\nu}(\nu_e \rightarrow \nu_\tau) \cong c_{23}^2 P_{2\nu},$$
$$P_{3\nu}(\nu_\mu \rightarrow \nu_\mu) \cong 1 - s_{23}^4 P_{2\nu} - 2c_{23}^2 s_{23}^2 [1 - \text{Re}(e^{-i\kappa} A_{2\nu}(\nu_\tau \rightarrow \nu_\tau))] ,$$

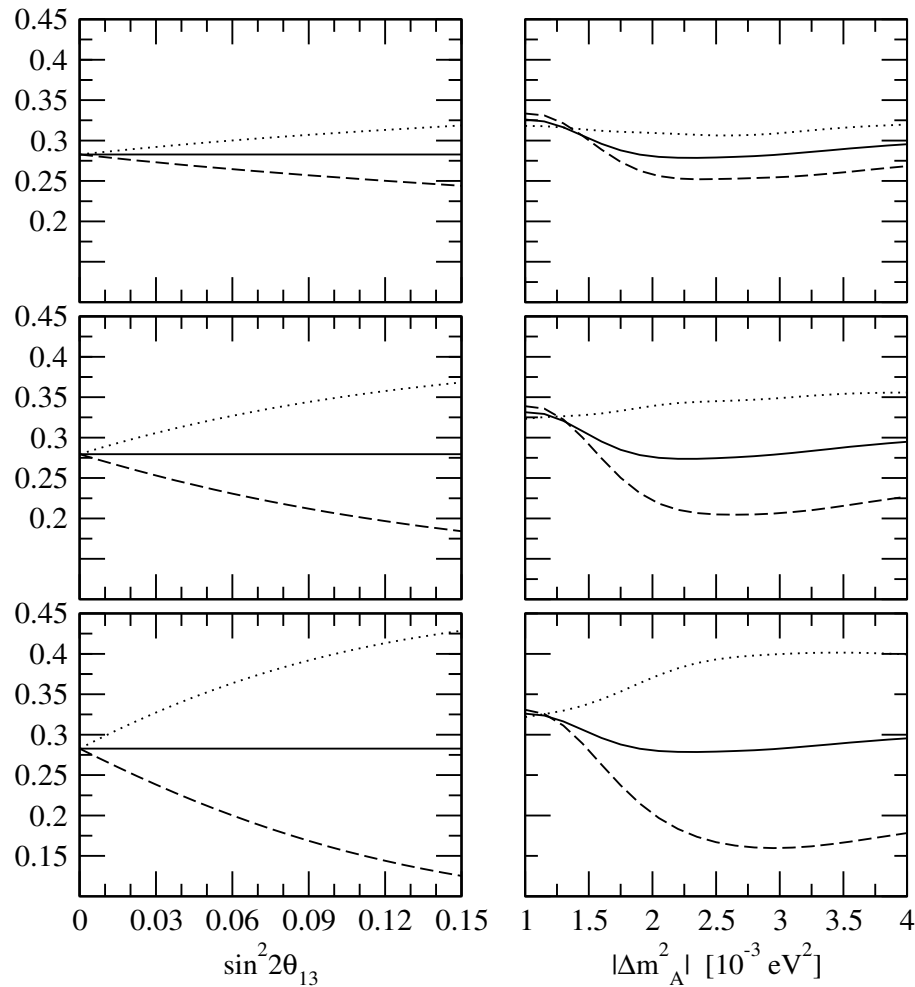
$P_{2\nu} \equiv P_{2\nu}(\Delta m_{31}^2, \theta_{13}; E, \theta_n; N_e)$ : 2- $\nu$   $\nu_e \rightarrow \nu'_\tau$  oscillations in the Earth,

$$\nu'_\tau = s_{23} \nu_\mu + c_{23} \nu_\tau;$$

$\kappa$  and  $A_{2\nu}(\nu_\tau \rightarrow \nu_\tau) \equiv A_{2\nu}$  are known phase and 2- $\nu$  amplitude.

**NH:**  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  matter enhanced,  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  - suppressed

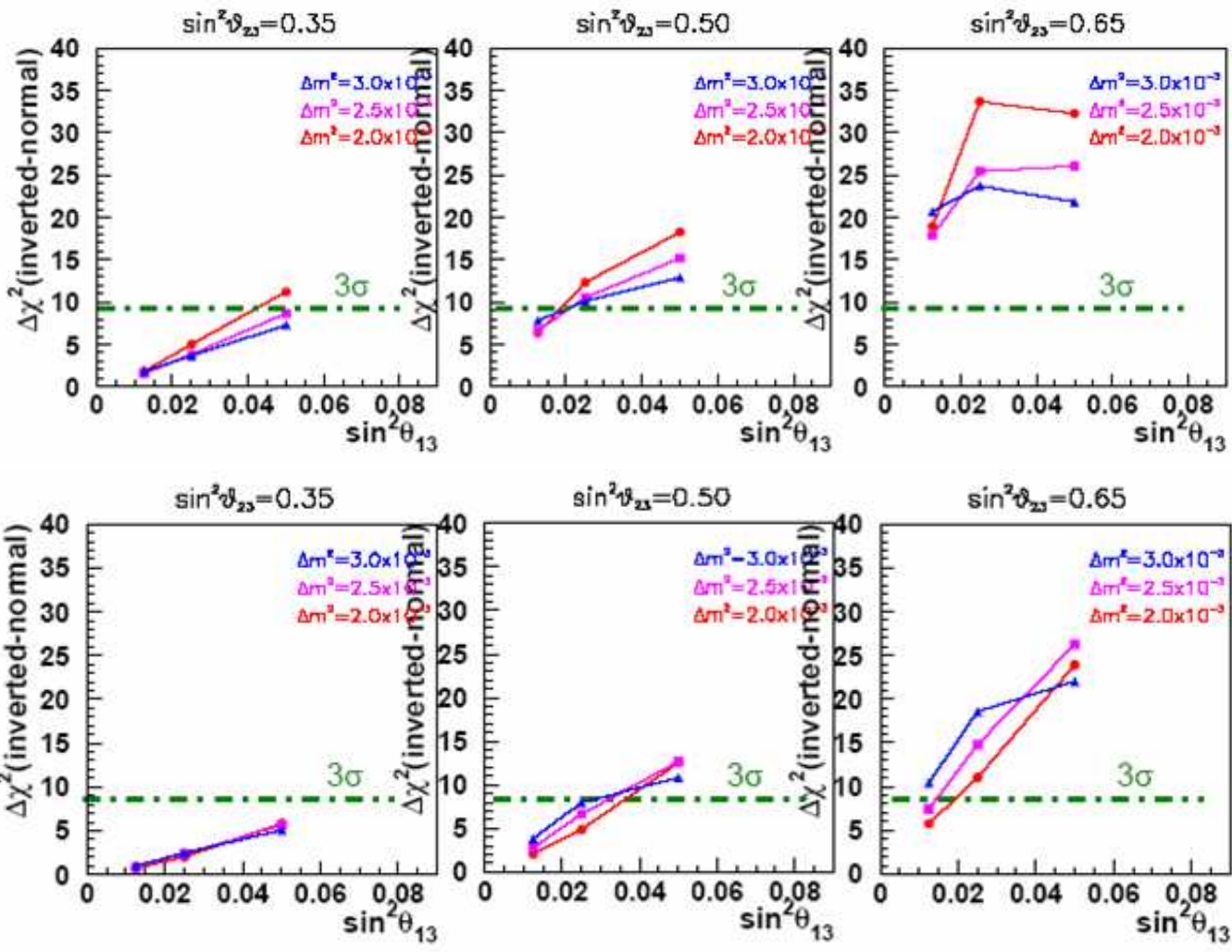
**IH:**  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  matter enhanced,  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  - suppressed



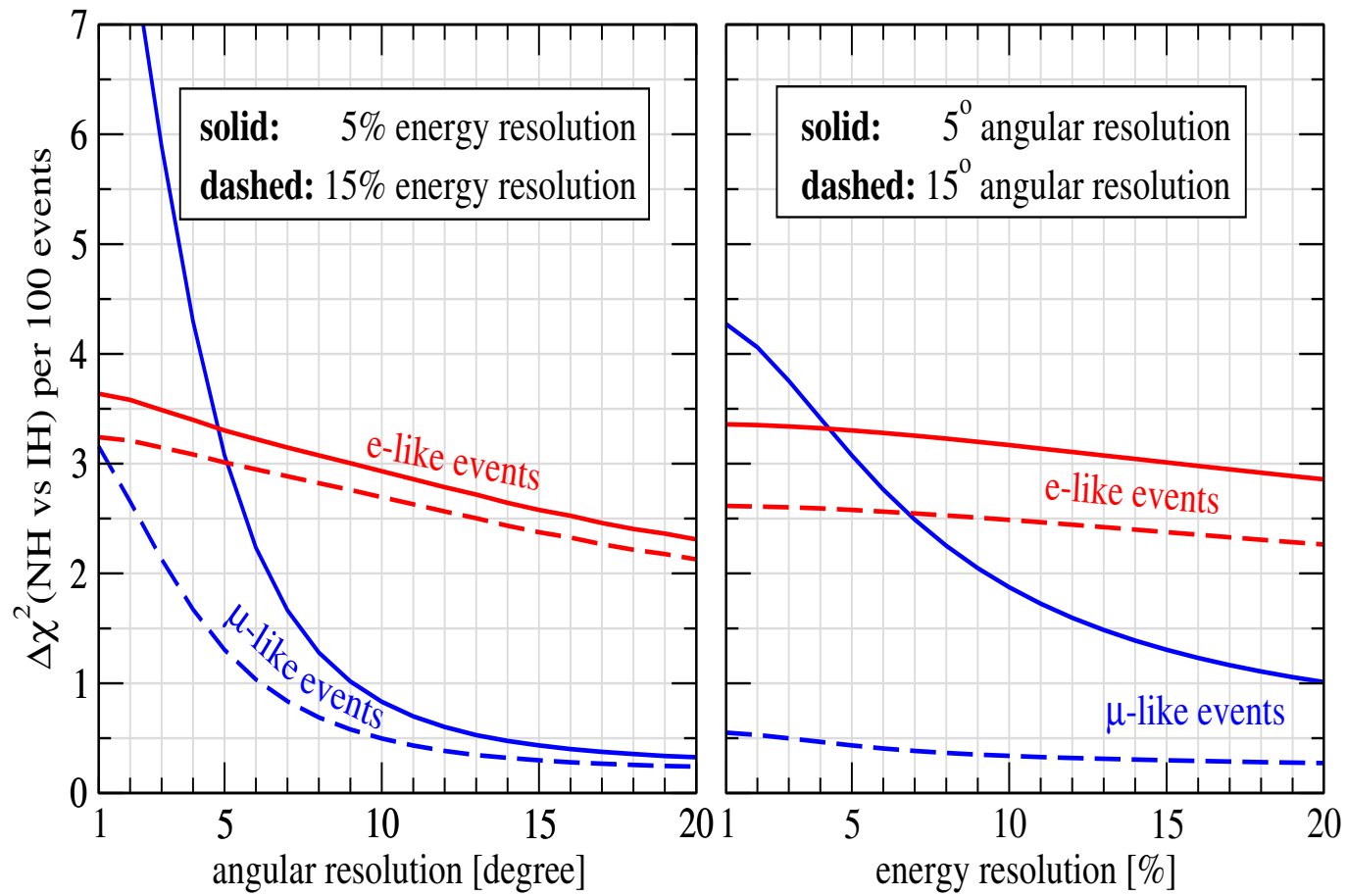
**Iron Magnetized Detectors (MINOS, INO): multi-GeV  $\mu^-$  and  $\mu^+$  event rates,  $N_{\mu^-}$  and  $N_{\mu^+}$ ;  $\cos \theta_n = (0.30 - 0.84)$  mantle bin,  $E = [5, 20]$  GeV**

$A \equiv \frac{U-D}{U+D}$  in the  $\theta_n$ -dependence of  $\frac{N_{\mu^-}}{N_{\mu^+}}$

- $|\Delta m_{31}^2| = 3 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{23} = 0.36, 0.50, 0.64$
- $\Delta m_{31}^2 > 0$ -NH (dashed),  $\Delta m_{31}^2 < 0$ -IH (dotted),  $2-\nu$  (solid)



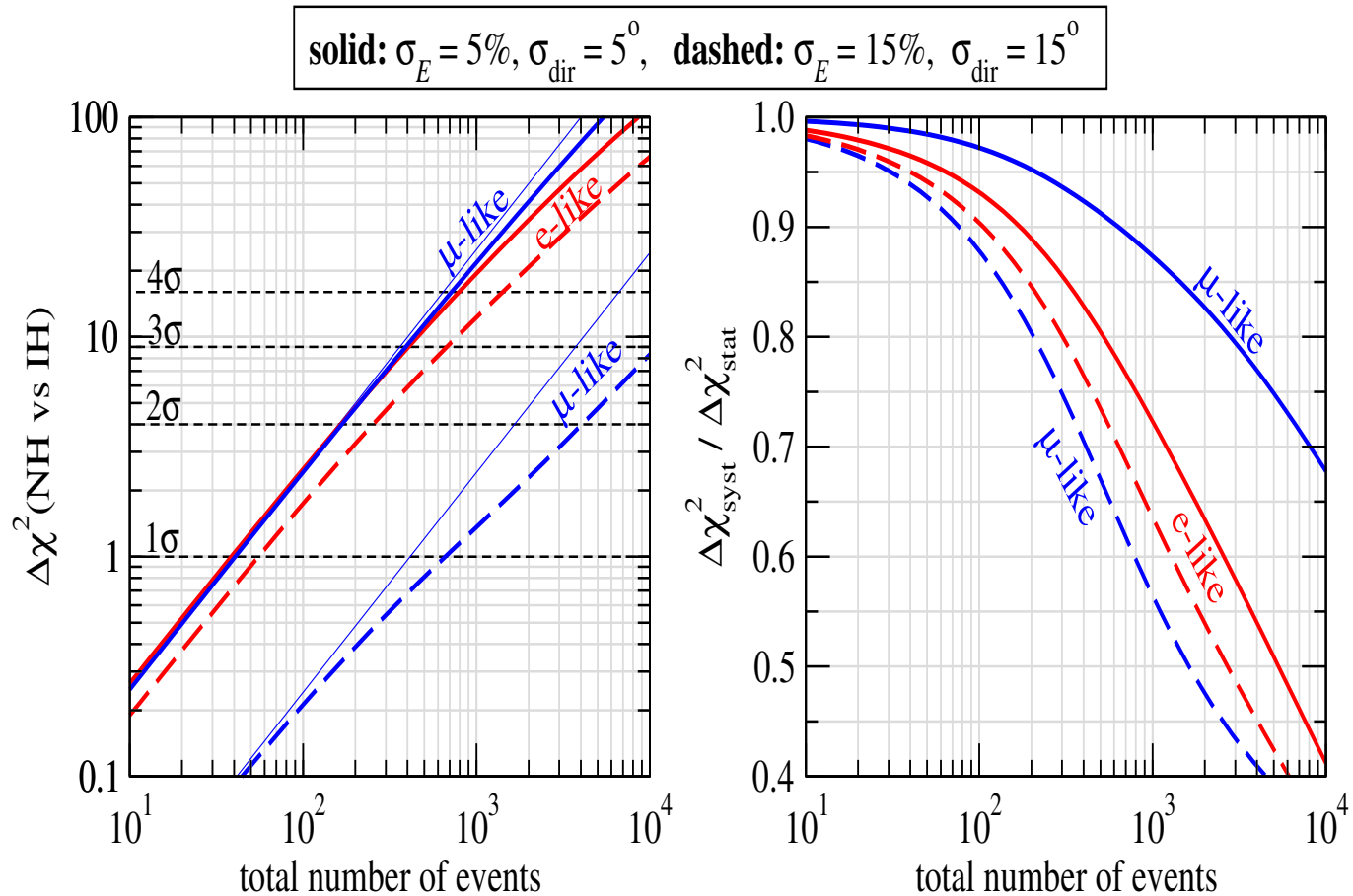
Water-Cerenkov detector, 1.8 MTy



T. Schwetz, S.T.P., 2005

$$\sin^2 2\theta_{13} = 0.10, \quad \sin^2 \theta_{23} = 0.50, \quad |\Delta m_{\Delta}^2| = 2.4 \times 10^{-3} \text{ eV}^2$$

$$E_{\nu} = (2 - 10) \text{ GeV}; \quad 0.1 \leq \cos \theta_n \leq 1.0$$



$$\sin^2 2\theta_{13} = 0.10, \quad \sin^2 \theta_{23} = 0.50, \quad |\Delta m_{\Delta}^2| = 2.4 \times 10^{-3} \text{ eV}^2$$

$$E_\nu = (2 - 10) \text{ GeV}; \quad 0.1 \leq \cos \theta_n \leq 1.0$$

Thin solid lines - stat. errors only (for  $\mu$ -like events)

INO; ATLAS, CMS (?)



## $(\beta\beta)_{0\nu}$ –Decay Experiments:

- Majorana nature of  $\nu_j$
- Type of  $\nu$ –mass spectrum (NH, IH, QD)
- Absolute neutrino mass scale

$^3\text{H}$   $\beta$ -decay, cosmology:  $m_\nu$  (QD, IH)

- CPV due to Majorana CPV phases

$\nu_j$ – Dirac or Majorana particles, fundamental problem

$\nu_j$ –Dirac: conserved lepton charge exists,  $L = L_e + L_\mu + L_\tau$ ,  $\nu_j \neq \bar{\nu}_j$

$\nu_j$ –Majorana: no lepton charge is exactly conserved,  $\nu_j \equiv \bar{\nu}_j$

The observed patterns of  $\nu$ –mixing and of  $\Delta m_{\text{atm}}^2$  and  $\Delta m_{\odot}^2$  can be related to Majorana  $\nu_j$  and an approximate symmetry:

$$L' = L_e - L_\mu - L_\tau$$

S.T.P., 1982

See-saw mechanism:  $\nu_j$ – Majorana

Establishing that  $\nu_j$  are Majorana particles would be as important as the discovery of  $\nu$ – oscillations.

**If  $\nu_j$  – Majorana particles,  $U_{\text{PMNS}}$  contains (3- $\nu$  mixing)**

$\delta$ -Dirac,  $\alpha_{21}, \alpha_{31}$  - Majorana **physical CPV phases**

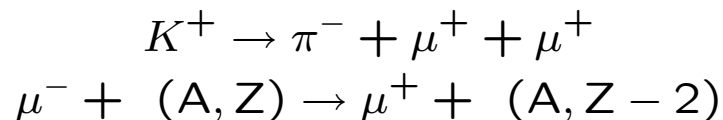
$\nu$ -oscillations  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, l, l' = e, \mu, \tau,$

- are not sensitive to the nature of  $\nu_j,$

S.M. Bilenky et al., 1980;  
P. Langacker et al., 1987

- provide information on  $\Delta m_{jk}^2 = m_j^2 - m_k^2,$  but not on the absolute values of  $\nu_j$  masses.

The Majorana nature of  $\nu_j$  can manifest itself in the existence of  $\Delta L = \pm 2$  processes:



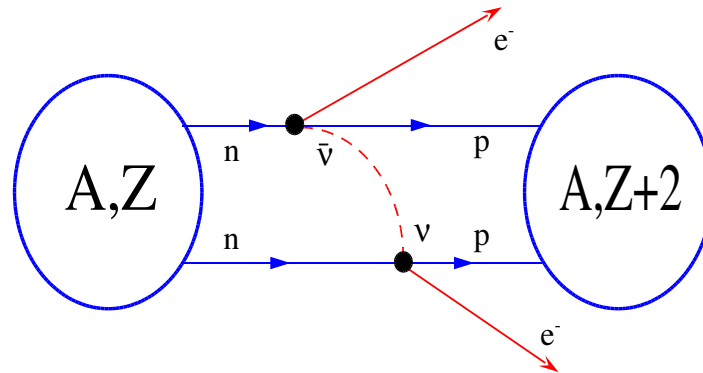
The process most sensitive to the possible Majorana nature of  $\nu_j$  -  $(\beta\beta)_{0\nu}$ -decay



of even-even nuclei,  $^{48}\text{Ca}, ^{76}\text{Ge}, ^{82}\text{Se}, ^{100}\text{Mo}, ^{116}\text{Cd}, ^{130}\text{Te}, ^{136}\text{Xe}, ^{150}\text{Nd}.$

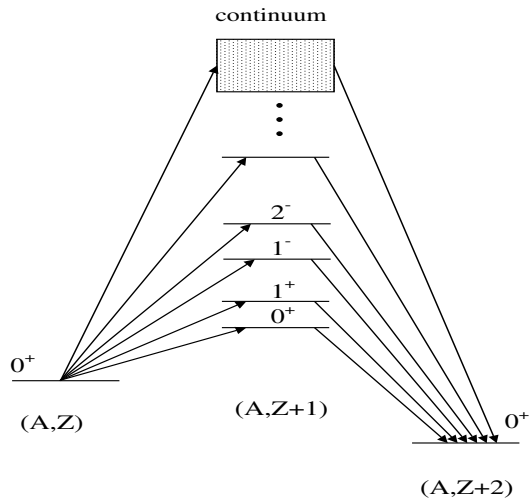
$2n$  from  $(A, Z)$  exchange a virtual Majorana  $\nu_j$  (via the CC weak interaction) and transform into  $2p$  of  $(A, Z+2)$  and two free  $e^-$ .

# Nuclear $0\nu\beta\beta$ -decay



strong in-medium modification of the basic process

$$dd \rightarrow uue^-e^-(\bar{\nu}_e\bar{\nu}_e)$$



virtual excitation  
of states of all multipolarities  
in  $(A, Z+1)$  nucleus

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle \mathbf{M}(\mathbf{A}, \mathbf{Z}), \quad \mathbf{M}(\mathbf{A}, \mathbf{Z}) - \text{NME},$$

$$\begin{aligned} |\langle m \rangle| &= |m_1 |U_{e1}|^2 + m_2 |U_{e2}|^2 e^{i\alpha_{21}} + m_3 |U_{e3}|^2 e^{i\alpha_{31}}| \\ &= |m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{i\alpha_{21}} + m_3 s_{13}^2 e^{i\alpha_{31}}|, \quad \theta_{12} \equiv \theta_{\odot}, \theta_{13} - \text{CHOOZ} \end{aligned}$$

$\alpha_{21}, \alpha_{31}$  - the two Majorana CPVP of the PMNS matrix.

CP-invariance:  $\alpha_{21} = 0, \pm\pi, \alpha_{31} = 0, \pm\pi$ ;

$$\eta_{21} \equiv e^{i\alpha_{21}} = \pm 1, \quad \eta_{31} \equiv e^{i\alpha_{31}} = \pm 1$$

relative CP-parities of  $\nu_1$  and  $\nu_2$ , and of  $\nu_1$  and  $\nu_3$  .

L. Wolfenstein, 1981;

S.M. Bilenky, N. Nedelcheva, S.T.P., 1984;

B. Kayser, 1984.

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle \mathbf{M}(\mathbf{A}, \mathbf{Z}), \quad \mathbf{M}(\mathbf{A}, \mathbf{Z}) - \text{NME},$$

$$|\langle m \rangle| \cong \left| \sqrt{\Delta m_{\odot}^2} \sin^2 \theta_{12} e^{i\alpha} + \sqrt{\Delta m_{31}^2} \sin^2 \theta_{13} e^{i\beta_M} \right|, \quad m_1 \ll m_2 \ll m_3 \text{ (NH)},$$

$$|\langle m \rangle| \cong \sqrt{m_3^2 + \Delta m_{13}^2} |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_3 < (\ll) m_1 < m_2 \text{ (IH)},$$

$$|\langle m \rangle| \cong m |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_{1,2,3} \cong m \gtrsim 0.10 \text{ eV (QD)},$$

$$\theta_{12} \equiv \theta_{\odot}, \theta_{13} \text{-CHOOZ}; \quad \alpha \equiv \alpha_{21}, \beta_M \equiv \alpha_{31}.$$

$$\text{CP-invariance: } \alpha = 0, \pm\pi, \beta_M = 0, \pm\pi;$$

$$|\langle m \rangle| \lesssim 5 \times 10^{-3} \text{ eV, NH};$$

$$\sqrt{\Delta m_{13}^2} \cos 2\theta_{12} \cong 0.013 \text{ eV} \lesssim |\langle m \rangle| \lesssim \sqrt{\Delta m_{13}^2} \cong 0.055 \text{ eV, IH};$$

$$m \cos 2\theta_{12} \lesssim |\langle m \rangle| \lesssim m, \quad m \gtrsim 0.10 \text{ eV, QD}.$$

**Best sensitivity: Heidelberg-Moscow  $^{76}\text{Ge}$  experiment.**

**Claim for a positive signal at  $> 3\sigma$ :**

**H. Klapdor-Kleingrothaus et al., PL B586 (2004),**

**$|\langle m \rangle| = (0.1 - 0.9) \text{ eV (99.73\% C.L.)}$ .**

**IGEX  $^{76}\text{Ge}$ :  $|\langle m \rangle| < (0.33 - 1.35) \text{ eV (90\% C.L.)}$ .**

**Taking data - NEMO3 ( $^{100}\text{Mo}$ ), CUORICINO ( $^{130}\text{Te}$ ):**

**$|\langle m \rangle| < (0.7-1.2) \text{ eV}$ ,  $|\langle m \rangle| < (0.18-0.90) \text{ eV (90\% C.L.)}$ .**

**Large number of projects:  $|\langle m \rangle| \sim (0.01 - 0.05) \text{ eV}$**

**CUORE -  $^{130}\text{Te}$ ,**

**GERDA -  $^{76}\text{Ge}$ ,**

**SuperNEMO -  $^{82}\text{Se}$ ,**

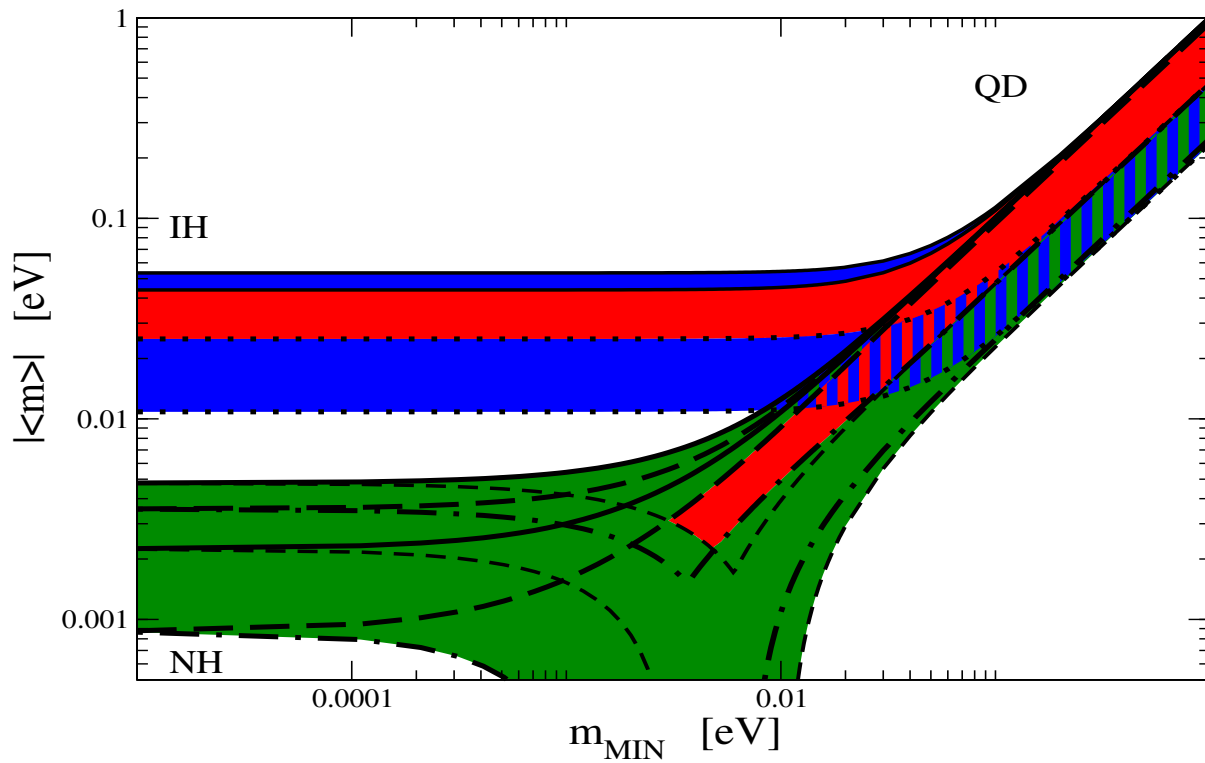
**EXO -  $^{136}\text{Xe}$ ,**

**MAJORANA -  $^{76}\text{Ge}$ ,**

**MOON -  $^{100}\text{Mo}$ ,**

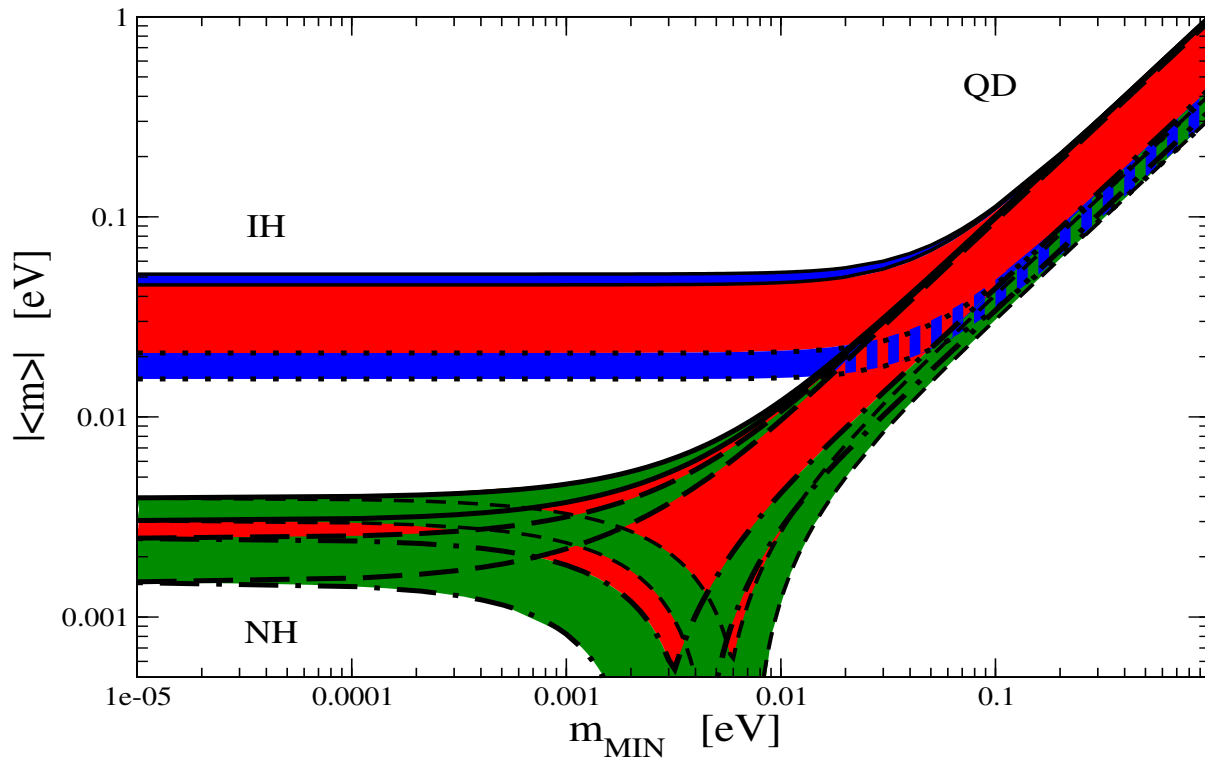
**CANDLES -  $^{48}\text{Ca}$ ,**

**XMASS -  $^{136}\text{Xe}$ .**



S. Pascoli, S.T.P., 2006

The current  $2\sigma$  ranges of values of the parameters used.



S. Pascoli, S.T.P., 2006

$\sin^2 \theta_{13} = 0.015 \pm 0.006$ ;  $1\sigma(\Delta m_{\odot}^2) = 4\%$ ,  $1\sigma(\sin^2 \theta_{\odot}) = 4\%$ ,  $1\sigma(|\Delta m_{\text{atm}}^2|) = 6\%$ ;

$2\sigma(|\langle m \rangle|)$  used.



**$^3\text{H}$   $\beta$ -decay:**  $^3\text{H} \rightarrow ^3\text{He} + e^- + \bar{\nu}_e$

$$\frac{d\Gamma}{dE_e} = \sum_i |U_{ei}|^2 \frac{d\Gamma(m_i)}{dE_e},$$

$$\frac{d\Gamma(m_i)}{dE_e} = C p_e (E_e + m_e) (E_0 - E_e) \sqrt{(E_0 - E_e)^2 - m_i^2} F(E_e) \theta(E_0 - E_e - m_i).$$

**NH:**  $m_1 \ll m_2 < m_3$ ,  $m_2 \cong \sqrt{\Delta m_{21}^2} \cong 9 \times 10^{-3}$  eV,  $m_3 \cong \sqrt{\Delta m_{31}^2} \cong 5 \times 10^{-2}$  eV

**IH:**  $m_3 \ll m_1 \cong m_2$ ,  $m_{1,2} \cong \sqrt{\Delta m_{23}^2} \cong 5 \times 10^{-2}$  eV

**Assume sensitivity to  $5 \times 10^{-2}$  eV.**

• **NH:**  $m_1, m_2$  - below the sensitivity; the effect of  $m_3$  - unobservable, suppressed by  $\sin^2 \theta_{13}$ :

$$\frac{d\Gamma}{dE_e} \cong \frac{d\Gamma(m_i = 0)}{dE_e}$$

• **IH:**  $m_3$  - below the sensitivity;  $m_2 - m_1 \cong 1.6 \times 10^{-3}$  eV - unobservable:

$$\frac{d\Gamma}{dE_e} \cong \frac{d\Gamma(m_{1,2})}{dE_e} \cong \frac{d\Gamma(\sqrt{\Delta m_{23}^2})}{dE_e}$$

No  $e^-$  spectrum deformation observed: **NH** spectrum.

Deformations observed:

1) spectrum with inverted neutrino mass ordering,  $\Delta m_{23}^2 < 0$ ,

a) **inverted hierarchical (IH)**,  $m_3 \ll m_1 < m_2$ , or

b) **partial inverted hierarchy**,  $m_3 < m_1 < m_2$ ;

2) spectrum with normal neutrino mass ordering,  $\Delta m_{23}^2 > 0$ , but with **partial neutrino mass hierarchy**,  $m_1 < m_2 < m_3$ .

Example (hypothetical) of the possibility 2):  $m_1 = 5.0 \cdot 10^{-2}$  eV,

$$m_2 = \sqrt{m_1^2 + \Delta m_{12}^2} \cong 5.1 \cdot 10^{-2} \text{ eV}, \quad m_3 = \sqrt{m_1^2 + \Delta m_{13}^2} \cong 6.9 \cdot 10^{-2} \text{ eV}$$

$$m_1 + m_2 + m_3 \cong 0.17 \text{ eV}$$

$$\frac{d\Gamma}{dE_e} \cong (1 - |U_{e3}|^2) \frac{d\Gamma(m_{1,2})}{dE_e} + |U_{e3}|^2 \frac{d\Gamma(m_3)}{dE_e} \cong \frac{d\Gamma(m_{1,2})}{dE_e}$$

S.M. Bilenky, M. Mateyev, S.T.P., 2006

## Conclusions

Experiments with reactor  $\bar{\nu}_e$  have remarkable physics potential:

- Can provide high precision determination of  $\sin^2 \theta_{12}$ ,  $\Delta m_{21}^2$ ,  $|\Delta m_{31}^2|$
- Can provide important constraint or measure  $\sin^2 \theta_{13}$
- Can determine the type of  $\nu$  mass spectrum

We are at the beginning of the Road...