

Baryogenesis, Leptogenesis and Lepton Flavor Violation

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- Status: Evidence for the baryon asymmetry
- Requisites: Sakharov conditions for baryogenesis
- Realization: Particle physics scenarios
- Focus: Leptogenesis and the seesaw mechanism
- Work out: LFV and the seesaw mechanism

Evidence for the baryon asymmetry

Observation: there are **more baryons than anti-baryons** in the universe

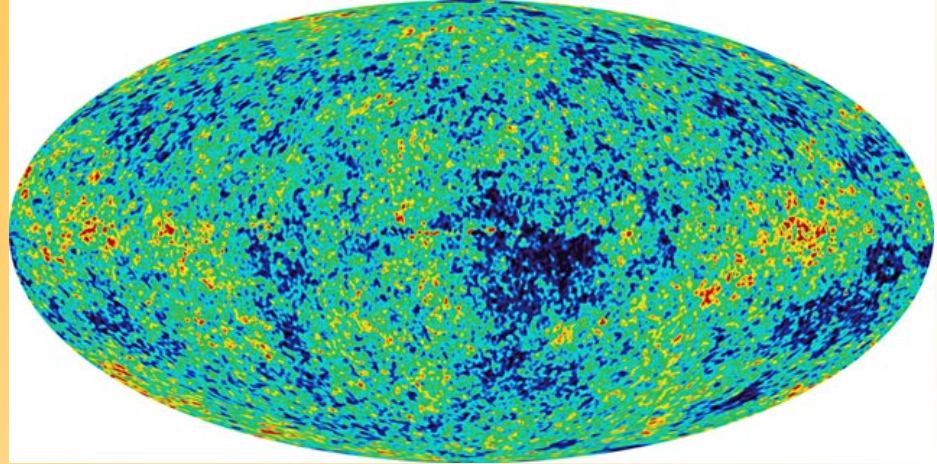
- Spectrum of **anti-protons in cosmic radiation** (BESS/balloon in 35 km altitude) **consistent** with generation from cosmic primaries



- **no anti-nuclei** found in cosmic radiation (AMS spectrometer/Discovery)

Evidence for the baryon asymmetry

- **no annihilation radiation** detected in the local galaxy cluster



- **no distortion of cosmic microwave background** from particle-anti-particle annihilation in the observable universe

Magnitude of baryon asymmetry

big bang nucleosynthesis:

$\sim 0.1 - 180s$ after big bang

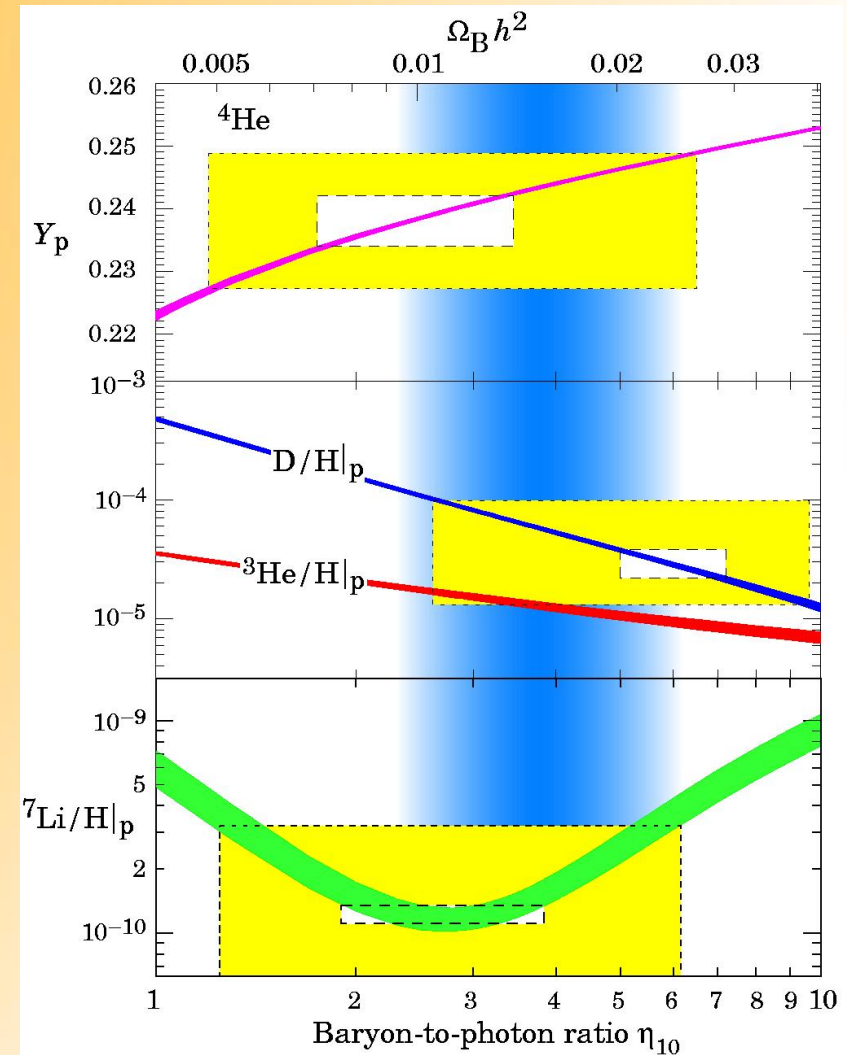
Synthesis $p, n \rightarrow D, {}^3\text{He}, {}^4\text{He}, {}^7\text{Li}$
dissociated by collisions with
high-energetic γ 's

\Rightarrow sensitive to:

$$\eta_B = \frac{n_B - n_{\bar{B}}}{n_\gamma}$$

Search for $D, {}^3\text{He}, {}^4\text{He}, {}^7\text{Li}$ in gas
clouds and stars with small metallicity

$$\Rightarrow 2.6 \cdot 10^{-10} < \eta_B < 6.2 \cdot 10^{-10}$$



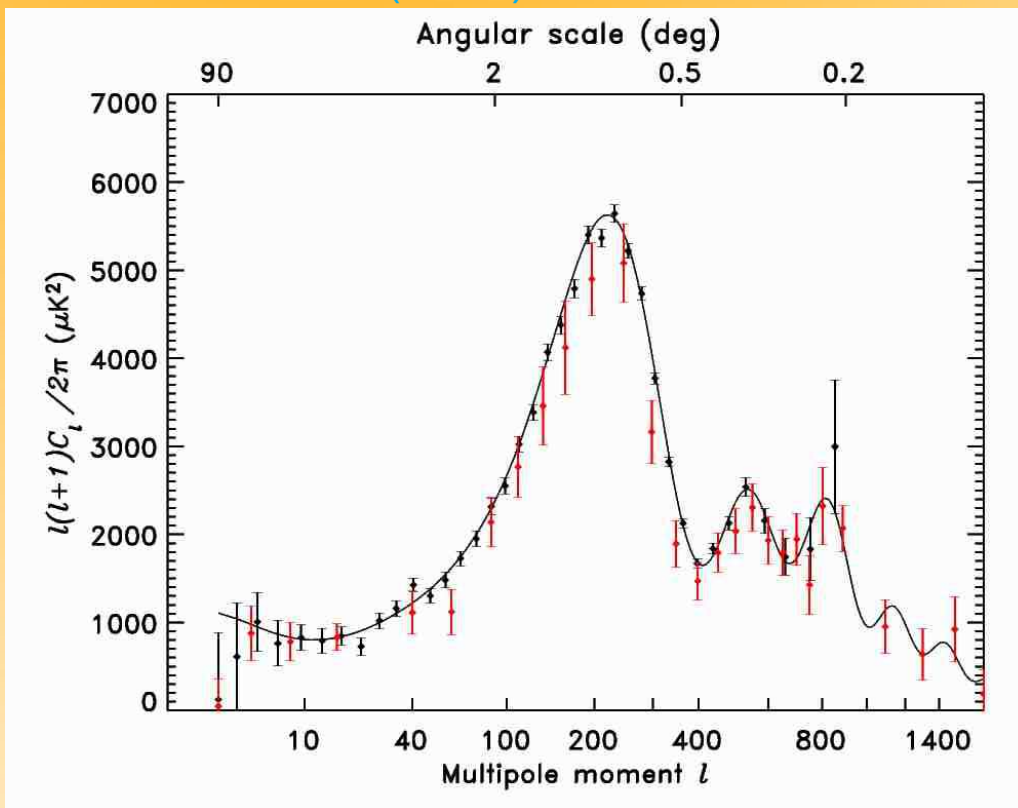
S. Sarkar, astro-ph/0205116

Magnitude of baryon asymmetry

Anisotropies in the CMB:

Atomic synthesis $\sim 380000y$ after big bang

D.N. Spergel, *Astrophys. J. Suppl.* 148
(2003) 175



Acoustic oscillations in the early universe

Comparison 1st peak

(fundamental wave: gravity \Rightarrow / \Rightarrow gas pressure)

to 2nd peak

(overtone: gravity \Leftrightarrow gas pressure)

\Rightarrow Ratio baryons (gravity + gas pressure) / cold dark matter (gravity)

\Rightarrow Ratio $\rho_B / \rho_\gamma \simeq 1$

\Rightarrow $\eta_B = 6.1^{+0.3}_{-0.2} \cdot 10^{-10}$

Baryon asymmetry as initial condition?

Possibility: $\eta_B = 6 \cdot 10^{-10}$ as initial condition?

Inflationary epoch:

$$ds^2 = dt^2 - R^2(t) \left(\frac{dr^2}{1-kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2 \right)$$

$$R(t) \propto \exp(\sqrt{\Lambda/3}t)$$

- $\rho_\Lambda = \Lambda/8\pi G$: Vacuum energy of inflaton field
- \Rightarrow flat, homogenous und **empty** universe!
- \Rightarrow end of inflation: decay of inflaton field into **thermal plasma**
- \Rightarrow **particles and anti-particles in equal abundances**
- \Rightarrow Necessity of **baryogenesis after inflationary epoch**

Sakharov-Bedingungen for baryogenesis

- **Baryon number violation:**
Interactions, which generate or annihilate B
- **Non-Equilibrium:**
 $\Gamma(i(\vec{p}_i, \vec{s}_i) \rightarrow f(\vec{p}_f, \vec{s}_f)) \neq \Gamma(f(\vec{p}_f, \vec{s}_f) \rightarrow i(\vec{p}_i, \vec{s}_i))$
 \Rightarrow arrow of time
- **C violation:**
 $\Gamma(i(\vec{p}_i, \vec{s}_i) \rightarrow f(\vec{p}_f, \vec{s}_f)) \neq \Gamma(\bar{i}(\vec{p}_i, \vec{s}_i) \rightarrow \bar{f}(\vec{p}_f, \vec{s}_f))$
 \Rightarrow different process rates for particles and anti-particles
- **CP violation:**
 $\Gamma(i(\vec{p}_i, \vec{s}_i) \rightarrow f(\vec{p}_f, \vec{s}_f)) \neq \Gamma(\bar{i}(-\vec{p}_i, \vec{s}_i) \rightarrow \bar{f}(-\vec{p}_f, \vec{s}_f))$
 \Rightarrow different process rates for particles and anti-particles of different parities

A.D. Sakharov, 1967; V.A. Kuzmin, 1970

How can the
Sakharov conditions
be realized in a
particle physics model?
Baryon number violation?

1st Model: GUT Baryogenesis

Standard Model

Quarks

$$\begin{pmatrix} u \\ d \end{pmatrix}_L, \begin{pmatrix} c \\ s \end{pmatrix}_L, \begin{pmatrix} t \\ b \end{pmatrix}_L$$

$$u_R, d_R, c_R, s_R, t_R, b_R$$

Leptons

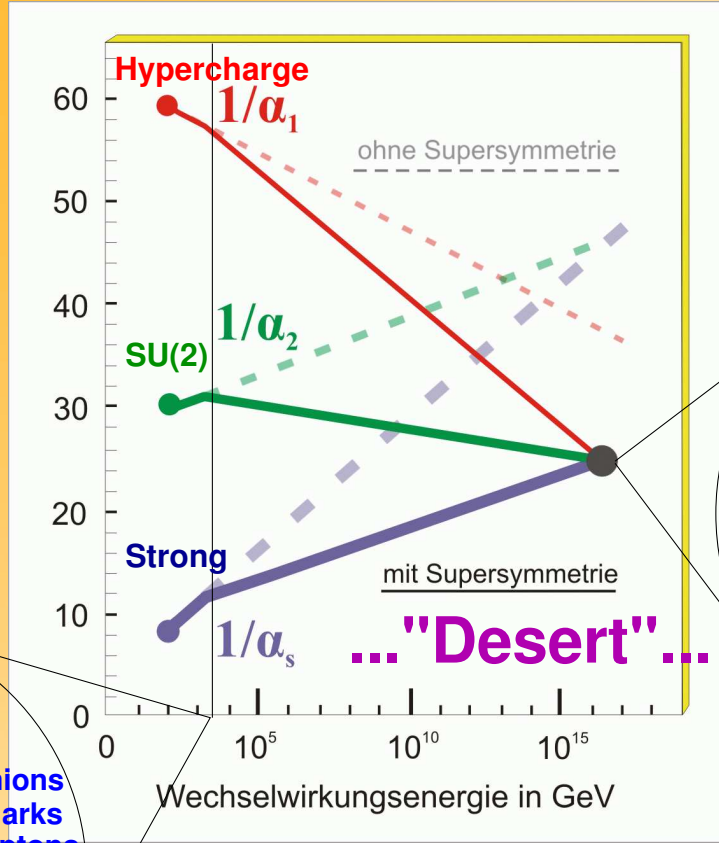
$$e_R, \mu_R, \tau_R$$

$$\begin{pmatrix} \nu \\ e \end{pmatrix}_L, \begin{pmatrix} \nu \\ \mu \end{pmatrix}_L, \begin{pmatrix} \nu \\ \tau \end{pmatrix}_L$$

Supersymmetry

symmetry: bosons \leftrightarrow fermions
 quarks \leftrightarrow (scalar) squarks
 leptons \leftrightarrow (scalar) sleptons
 bosons \leftrightarrow (s=1/2) bosinos

benefits: coupling unification
 cancels divergencies
 dark matter candidate
 necessary for gravity



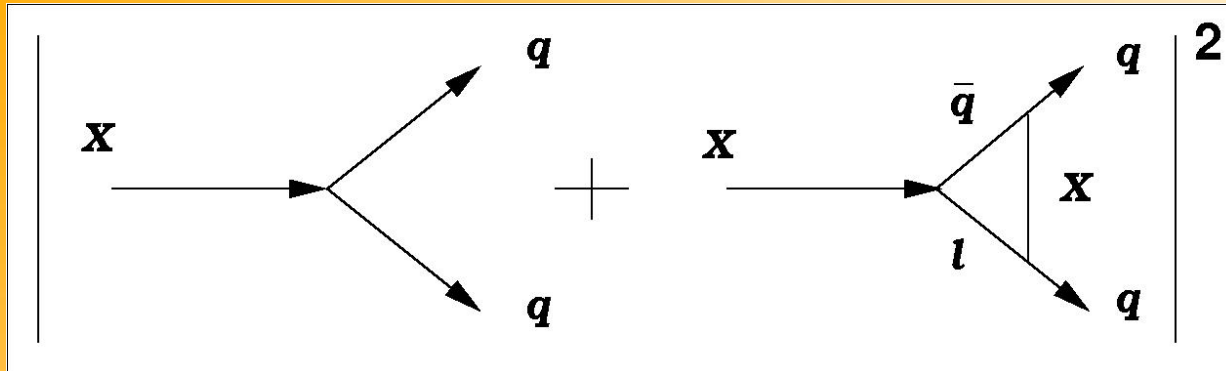
Grand Unified Theory

quarks and leptons in the same multiplet

Unification of forces

Right handed neutrinos?

1st Model: GUT Baryogenesis



- **Baryon number violationen:** GUT multiplet
- **Non-equilibrium decay** of heavy X -bosons for $M_X > T_{\text{universe}}$
- **CP -violation:** $\Gamma(X \rightarrow qq) > \Gamma(\bar{X} \rightarrow \bar{q}\bar{q})$
- **Problem:** thermal generation of X bosons with $m_X \sim M_{GUT} \rightarrow$ high reheating temperature after inflation $T_{reh} \sim M_{GUT} \simeq 10^{16}$ GeV \rightarrow powerful **generation of weakly interacting superpartners** (gravitinos) in SUSY scenarios \rightarrow decay products **prevent successful BBN**

Inglatiev, Krasnikov, Kuzmin, Tavkhelidze, 1978; Yoshimura, Weinberg, 1979

Baryon number violation

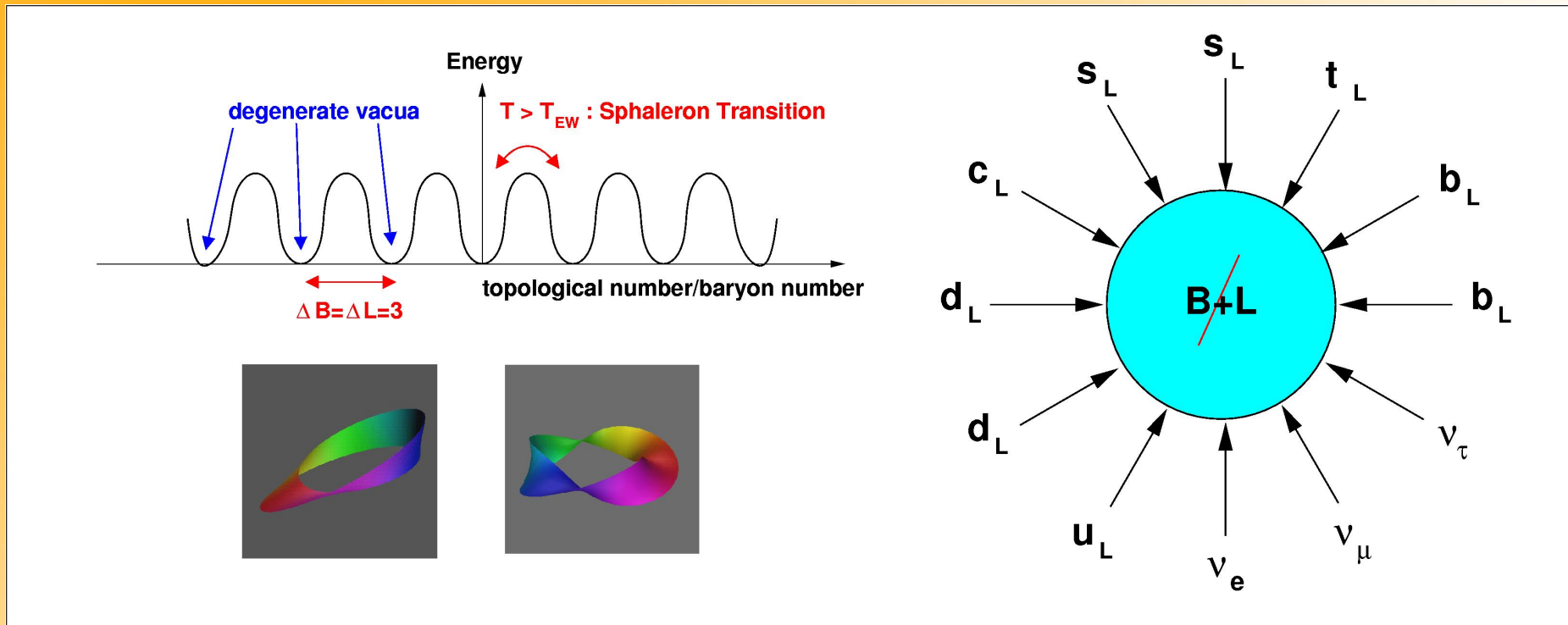
already

in the

Standard Model?

2nd Model: Elektroweak baryogenesis

Sphalerons: B violation in the Standard Model



Topologically different field configurations \Rightarrow degenerated vacua with different baryon numbers t'Hooft 1976

$T > T_{EW} \Rightarrow$ Transitions between vacua, Baryon number violation

Kuzmin, Rubakov, Shaposhnikov, 1985

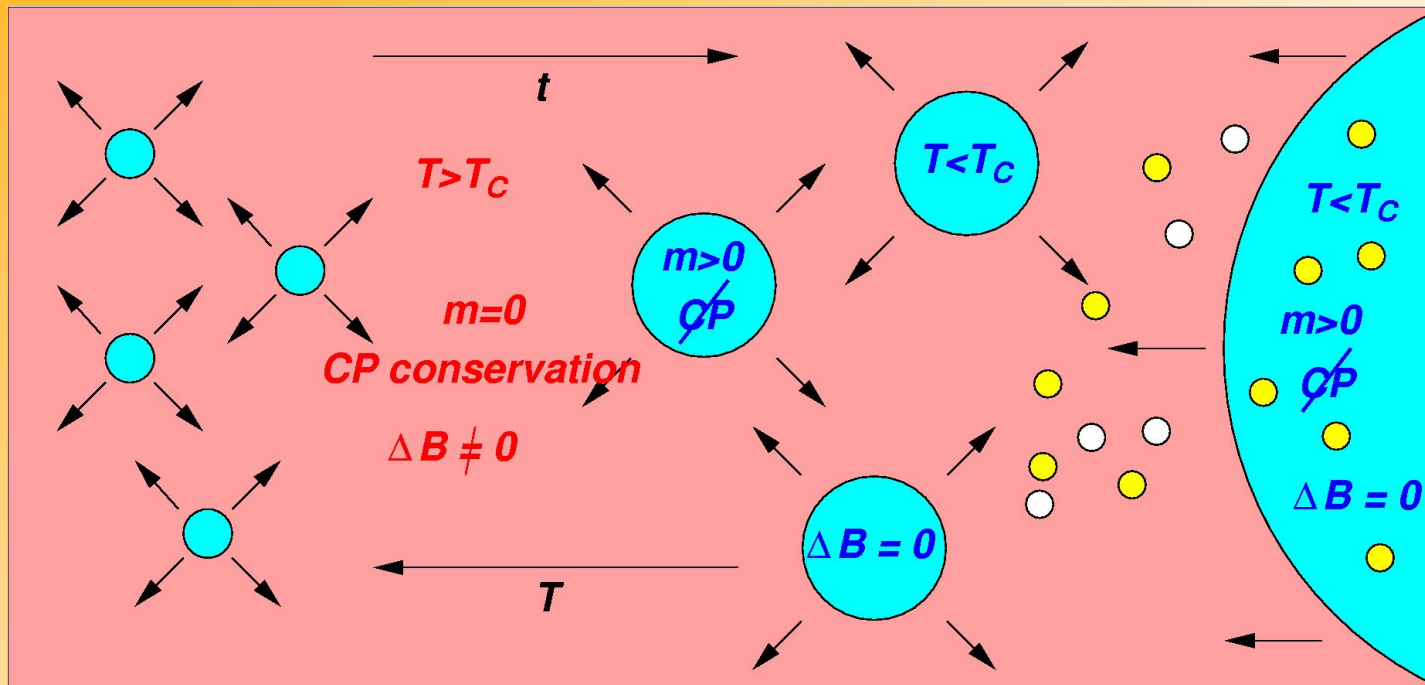
2nd Model: Elektroweak baryogenesis

Electroweak phase transition $\sim 10^{-10}$ s after big bang

Condensation of the Higgs field: $\langle \phi \rangle = 0 \rightarrow \langle \phi \rangle = v (T < T_c)$

\Rightarrow Mass generation, CP violation

Non-equilibrium: analogy water-steam transition



Requirement: 1st order transition \Leftrightarrow competing ground states

dependent of Higgs potential \Rightarrow depending on Higgs self interaction

$\lambda \Rightarrow m_H = v(T=0)\sqrt{2\lambda} < 70$ GeV

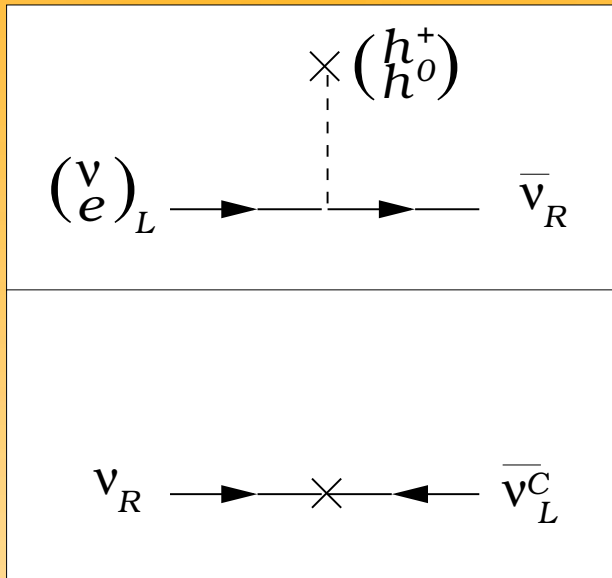
$m_H > 114$ GeV bei LEP too small!

Combination of
Non-equilibrium decay
and
baryogenesis at low energies?

3rd Modell: Leptogenesis

Neutrino mass generation in the seesaw mechanism

Motivation: $m_\nu \ll m_{u,d,e}$, Standard Model: $m_\nu \equiv 0$, since no right-handed neutrino



Assumption: \exists right-handed neutrino N_R :
 “Normal” Dirac mass term $m^D \bar{\nu}_L N_R$

However: N_R is a SM singlet!
 \Rightarrow Majorana mass term $\bar{N}_R M^R (N_R)^C$, $M_R \gg m_D$

E. Majorana 1937

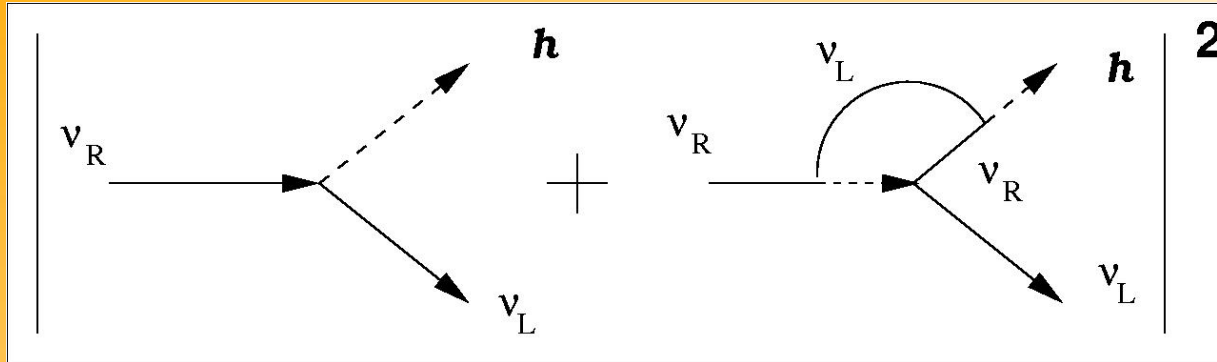
$$m^{D+M} \equiv \begin{pmatrix} \nu_L^c \\ (N_R) \end{pmatrix} \begin{pmatrix} 0 & m^D \\ m^D & M^R \end{pmatrix} \begin{pmatrix} \nu_L \\ (N_R)^c \end{pmatrix}$$

$$m^D \ll m^R \Rightarrow m_{\text{light}} \simeq -(m^D)^2 / M^R \ll m^D$$

$\Rightarrow \exists$ right-handed Neutrino N_R with L violating mass $M_R \sim 10^{14}$ GeV

3rd Modell: Leptogenesis

N_R decays in the early universe



- Non-equilibrium decay of heavy neutrinos for $M_R > T_{\text{universe}}$
- CP violation

$$\Gamma(N_R \rightarrow \bar{h} + \bar{\nu}_L) > \Gamma(N_R \rightarrow h + \nu_L)$$

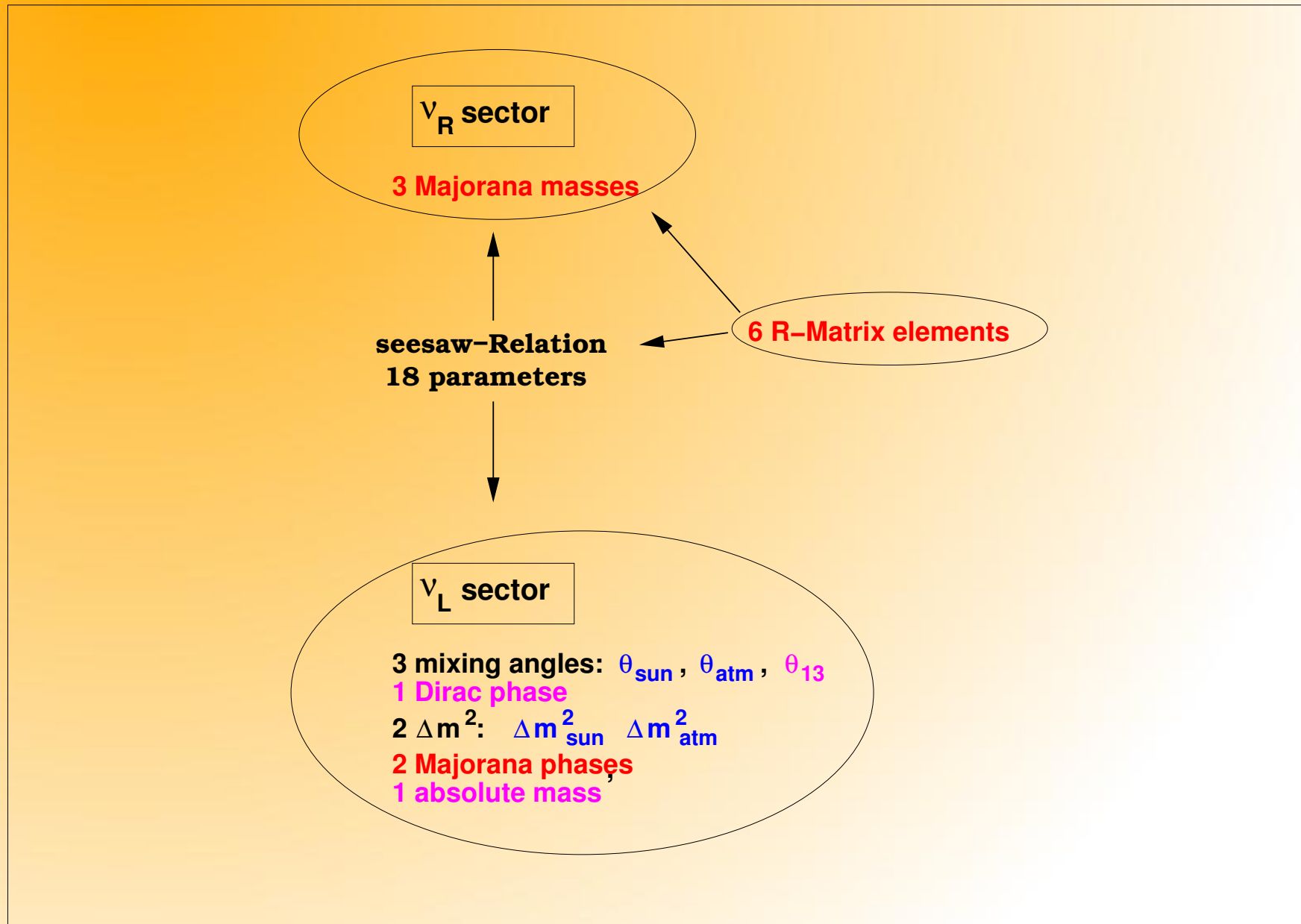
$\Rightarrow L$ -violation

- B -violation: L -violation + $B + L$ violating sphaleron processes
 $\rightarrow B$ violation

\Rightarrow Relations to neutrino physics + lepton flavor violation!

Fukugita, Yanagida, 1986

3rd Modell: Leptogenesis

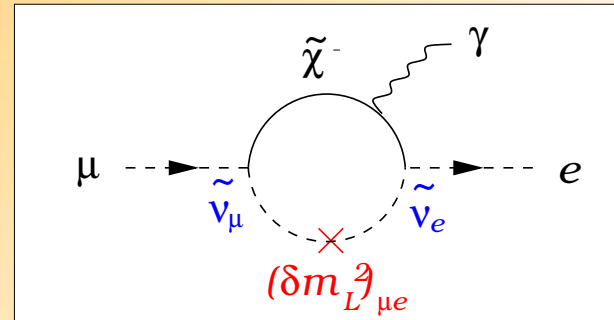
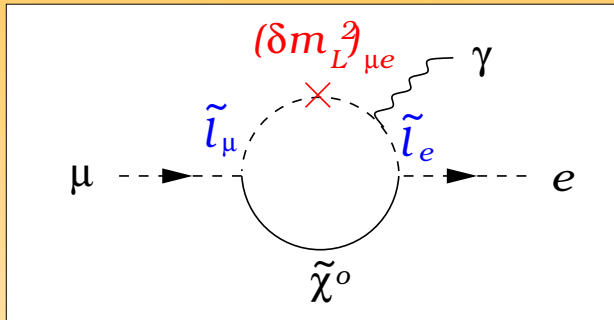
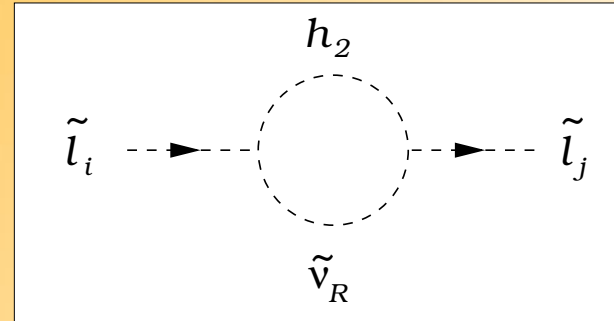
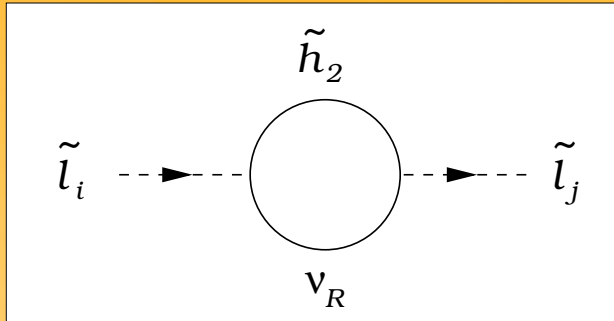


LFV processes

Inverting the seesaw matrix: $Y_\nu^\dagger Y_\nu \propto (m^D)^2 \propto M_R (\sim M_3)$

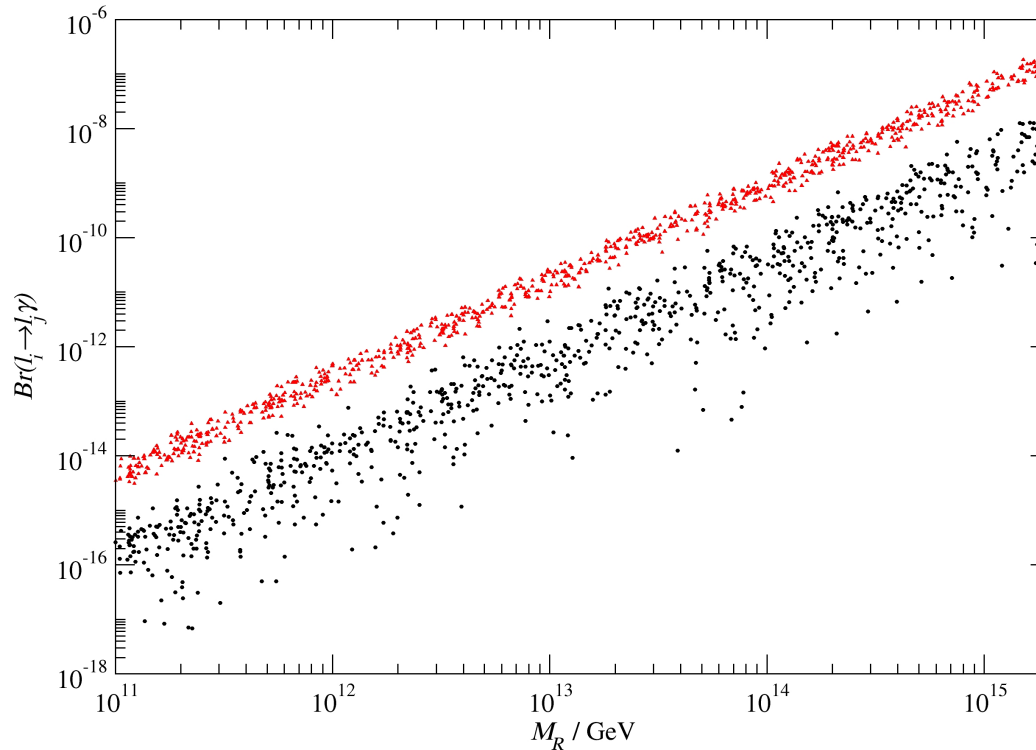
\Rightarrow Look for processes $\propto Y_\nu^\dagger Y_\nu$

\Rightarrow Lepton Flavor violating corrections to slepton masses!



$Br(\mu \rightarrow e\gamma)$ and $Br(\tau \rightarrow \mu\gamma)$

SUSY scenario SPS1, $m_1 < 0.03$ eV

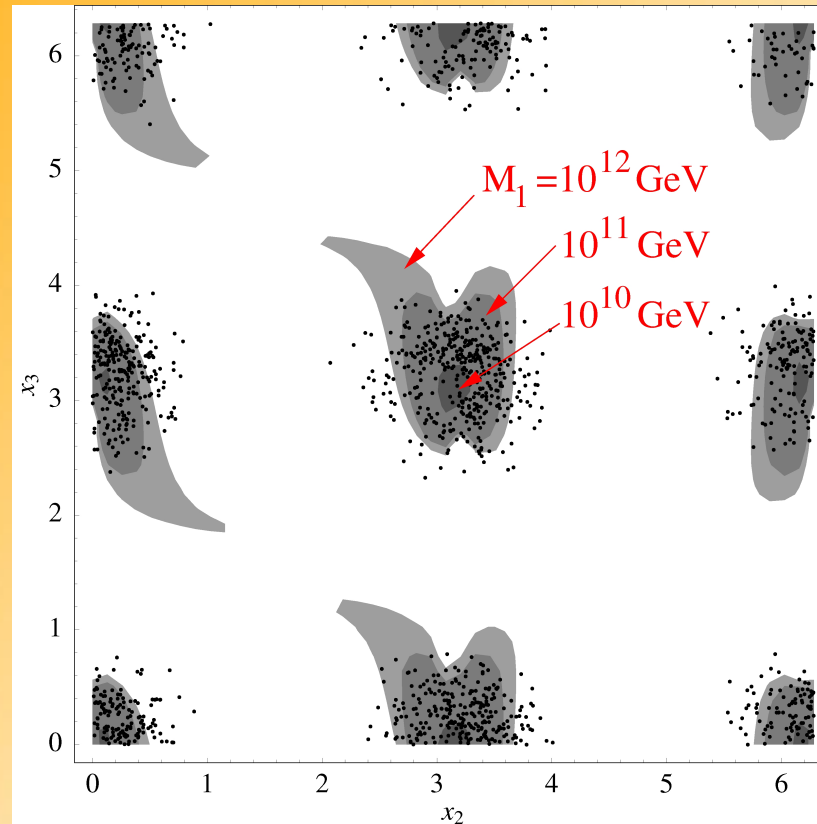


PDG: $Br(\mu \rightarrow e\gamma) < 1.2 \cdot 10^{-11}$ (90% C.L.) $\Rightarrow M_R < 10^{14} - 10^{15}$ GeV
 $Br(\tau \rightarrow \mu\gamma) < 10^{-8}$ (90% C.L.) : **determination accuracy factor 2!**

F. Deppisch, H. Päs, A. Redelbach, R. Rückl, Y. Shimizu, Eur.Phys.J. C28 (2003) 365-374

Gravitino bound and R-matrix elements

mSUGRA problem: overabundance of gravitinos for $T_R > 10^{10}$ GeV ($m_{3/2} \simeq 1$ TeV)
 $\Rightarrow M_1 < 10T_R < 10^{11}$ GeV $\Rightarrow x_{2,3} \simeq 0, \pi, 2\pi$



Contours: $y_i = 0.1$, best fit ν_L

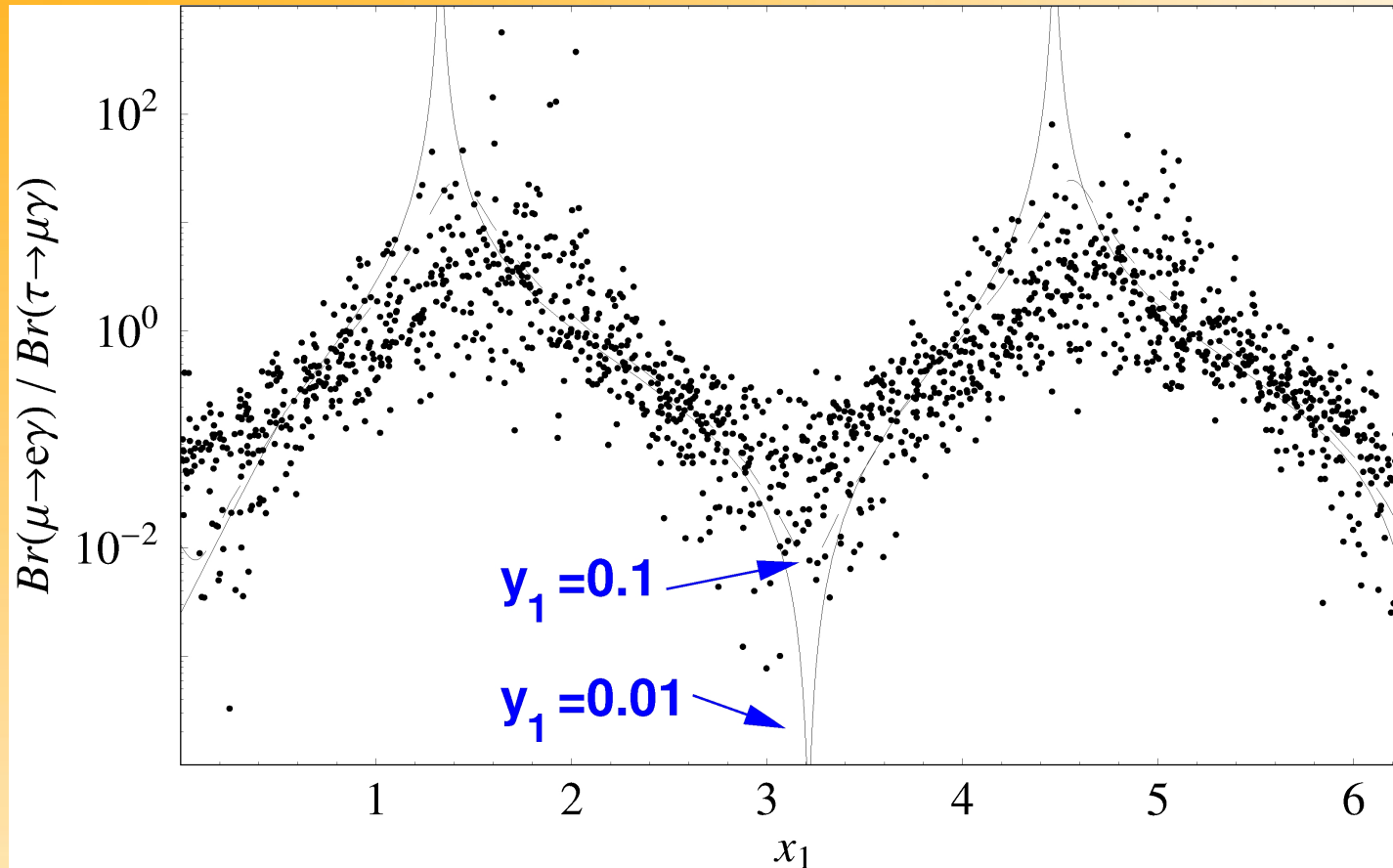
F. Deppisch, H. Päs, A. Redelbach, R. Rückl, in preparation

$Br(\mu \rightarrow e\gamma)/Br(\tau \rightarrow \mu\gamma)$ and x_1

Strong variation of $Br(\mu \rightarrow e\gamma)/Br(\tau \rightarrow \mu\gamma)$ with x_1

SuperB: $Br(\tau \rightarrow \mu\gamma) \simeq 10^8$

\Rightarrow Sensitivity: $Br(\mu \rightarrow e\gamma)/Br(\tau \rightarrow \mu\gamma) < \mathcal{O}10^{-3}$



F. Deppisch, H. Päs, A. Redelbach, R. Rückl, in preparation

Conclusions

- Cosmological evidence for baryon asymmetry
- Inflation destroys initial asymmetry
- Sakharov conditions: B violation, C - and CP violation, thermal Non-equilibrium
- GUT baryogenesis: overproduction of gravitinos
- Elektroweak baryogenesis: Phase transition too weak
- Leptogenesis: decays of heavy neutrino $\Rightarrow L$ violation $\Rightarrow B$ violation
 - Seesaw mechanism: 18 parameters
 - \Rightarrow Neutrino mass constraints
 - \Rightarrow Lepton flavor violations of charged leptons: $\tau \rightarrow \mu\gamma$
 - \Rightarrow constraints on right-handed neutrino masses
 - Seesaw benchmark model, learn GUT scale physics!

Martin Heidegger: “Das Nichts nichtet (the nothing noths)”

Conclusions

CP-Verletzung und komplexe Phasen

Massenbasis: $(d, s, b)^T$

Wechselwirkungsbasis: $(d', s', b')^T$

Unitäre Transformation: $(d, s, b)^T = U(d', s', b')^T$

U : Mischungsmatrix

Quarkstrom: $J^\mu = (\bar{u}, \bar{c}, \bar{t}) \mathcal{O} U(d, s, b)^T$

Amplitude für Prozess $(ab \rightarrow cd)$:

$$\mathcal{M} \sim J_{ca}^\mu J_{\mu bd}^\dagger \sim U_{ca} U_{bd}^* (\bar{u}_c \mathcal{O} u_a) (\bar{u}_d \mathcal{O} u_b)^\dagger$$

Amplitude für CP-gespiegelten Prozess:

$$\mathcal{M}^{CP} \sim CP(J_{ca}^\mu) CP(J_{\mu bd}^\dagger) \sim U_{ca} U_{bd}^* (\bar{u}_a \mathcal{O} u_c) (\bar{u}_b \mathcal{O} u_d)^\dagger$$

Beobachtung: $\mathcal{M}^{CP} = \mathcal{M}^\dagger \Leftrightarrow U$ reell

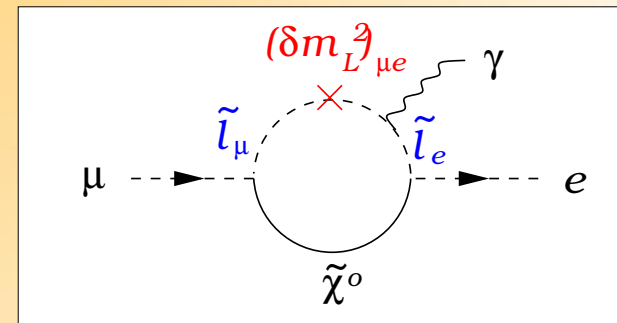
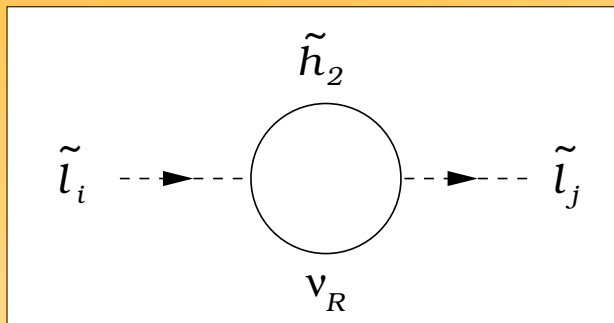
$\Rightarrow CP$ -Verletzung \Leftrightarrow komplexe Phasen in Kopplungen $\Rightarrow CP$ -Verletzung erfordert nichtverschwindende Massen!

Alternativen

- Affleck-Dine Baryogenese: Skalare Superpartner von Baryonen erhalten VEVs
Supersymmetrie: flache Richtungen im Potential
- Baryogenese an topologischen Defekten
- Baryogenese in schwarzen Löchern
Schwarze Löcher sind vollständig durch Masse, Ladung, Drehimpuls beschrieben (“No-hair”)
⇒ Quantengravitation verletzt alle globalen Symmetrien B, L
- Sneutrino ist das Inflaton: Nichtthermische Leptogenese im Inflatonzerfall
Neutrino-Physik \Leftrightarrow Inflation

Beziehungen zur Neutrinophysik

- Niedrige Reheatingtemperatur \Rightarrow **kleine M_R -Masse**
Davidson, Ibarra, 2002
- **CP -Verletzung in Neutrinooszillationen** \Rightarrow Neutrino Factories und Superbeams
- **Lepton Flavor Verletzung** in Prozessen mit geladenen Leptonen (seltene Zerfälle, Beschleuniger)



Borzumati, Masiero, 1986; Casas, Ibarra, 2001

- Washout für zu große Leptonenzahlverletzung: **Grenze auf die Neutrinomasse**
im minimalen Modell $m_\nu \lesssim 0.1$ eV
Buchmüller, di Bari, Plümacher, 2002